

ICHEPAP 2023
12 Dec 2023, SINP

Neutrinos from Supernovae


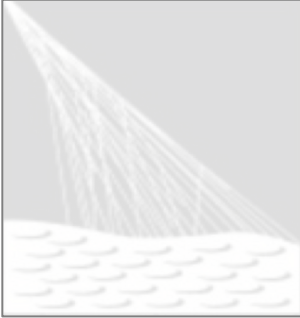



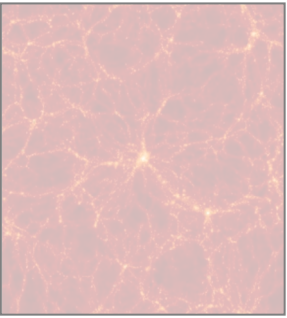
Opportunities, Challenges, Ideas

Basudeb Dasgupta
TIFR Mumbai



Neutrino Sources

*human-made nus @
reactors, accelerators
+DM etc. not shown*

0.1 - 10 ⁴ TeV	0.1 - 10 GeV	1 - 100 MeV	1 - 10 MeV	0.1 - 1 MeV	0.01 meV
					
Cosmic Accelerators	Atmospheric	Supernova BNS mergers	Solar	Earth	Early Universe

Proof of Principle

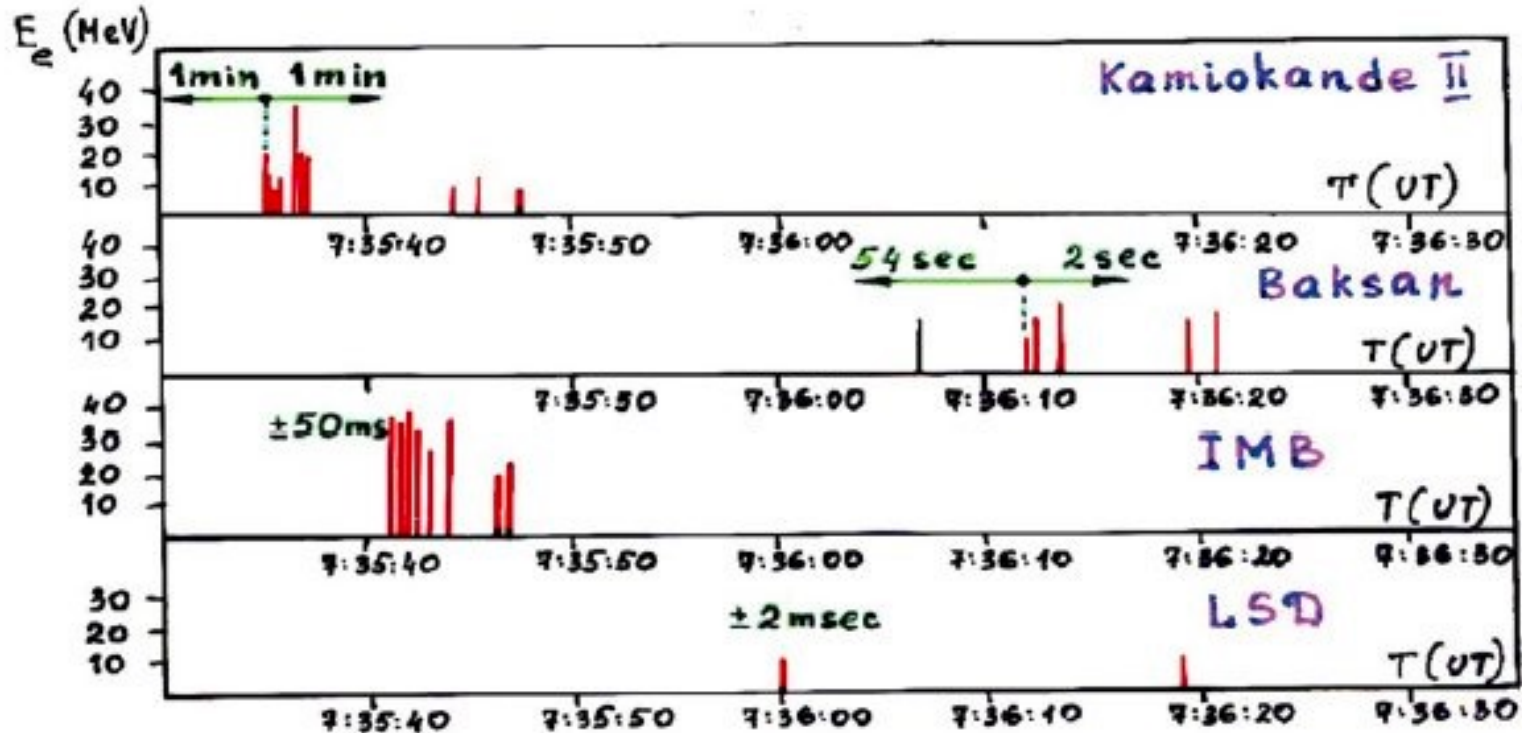


Image credit: Suzuki (2003)

Modelling is driven by SN simulations, though most features are theoretically well-justified
SN1987A is the only data.

SN Neutrino Program

1d simulation of a $27 M_{\text{sun}}$ star by Garching group
See review by Janka, Melson, and Summa (2016)

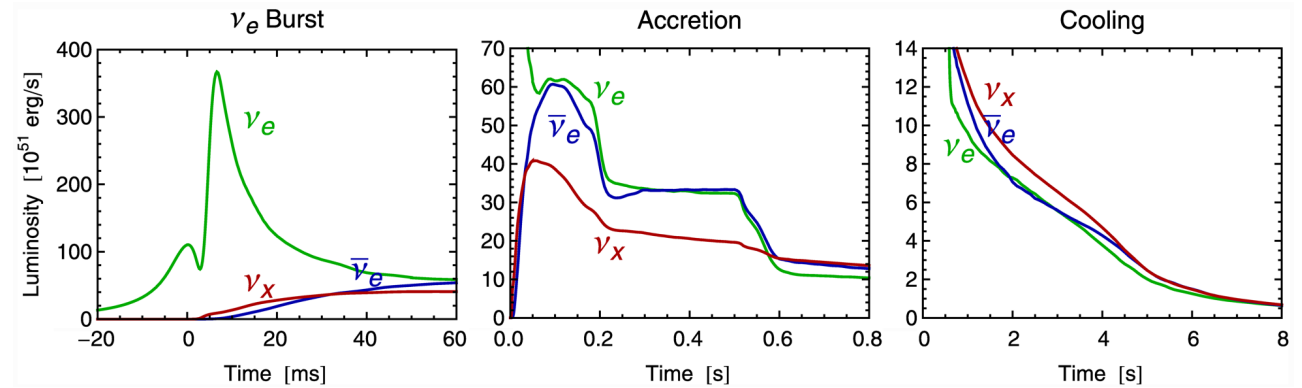
Running

Future

Detector	Type	Mass (kt)	Location	Events	Flavors
Super-Kamiokande	H ₂ O	32	Japan	7,000	$\bar{\nu}_e$
LVD	C _n H _{2n}	1	Italy	300	$\bar{\nu}_e$
KamLAND	C _n H _{2n}	1	Japan	300	$\bar{\nu}_e$
Borexino	C _n H _{2n}	0.3	Italy	100	$\bar{\nu}_e$
IceCube	Long string	(600)	South Pole	(10 ⁶)	$\bar{\nu}_e$
Baksan	C _n H _{2n}	0.33	Russia	50	$\bar{\nu}_e$
MiniBooNE*	C _n H _{2n}	0.7	USA	200	$\bar{\nu}_e$
HALO	Pb	0.08	Canada	30	ν_e, ν_x
Daya Bay	C _n H _{2n}	0.33	China	100	$\bar{\nu}_e$
NO ν A*	C _n H _{2n}	15	USA	4,000	$\bar{\nu}_e$
SNO+	C _n H _{2n}	0.8	Canada	300	$\bar{\nu}_e$
MicroBooNE*	Ar	0.17	USA	17	ν_e
DUNE	Ar	34	USA	3,000	ν_e
Hyper-Kamiokande	H ₂ O	560	Japan	110,000	$\bar{\nu}_e$
JUNO	C _n H _{2n}	20	China	6000	$\bar{\nu}_e$
RENO-50	C _n H _{2n}	18	Korea	5400	$\bar{\nu}_e$
LENA	C _n H _{2n}	50	Europe	15,000	$\bar{\nu}_e$
PINGU	Long string	(600)	South Pole	(10 ⁶)	$\bar{\nu}_e$

From review by Scholberg (2012)

Several detectors capable of detecting SN neutrinos.
There is a rich science-case.



Burst

SN standard candle

Mass ordering

Timing

...

Accretion

Collective effects

SN theory

Mass ordering

Pointing

...

Cooling

Nuclear physics

Exotics/Axions

Nucleosynthesis

Shock

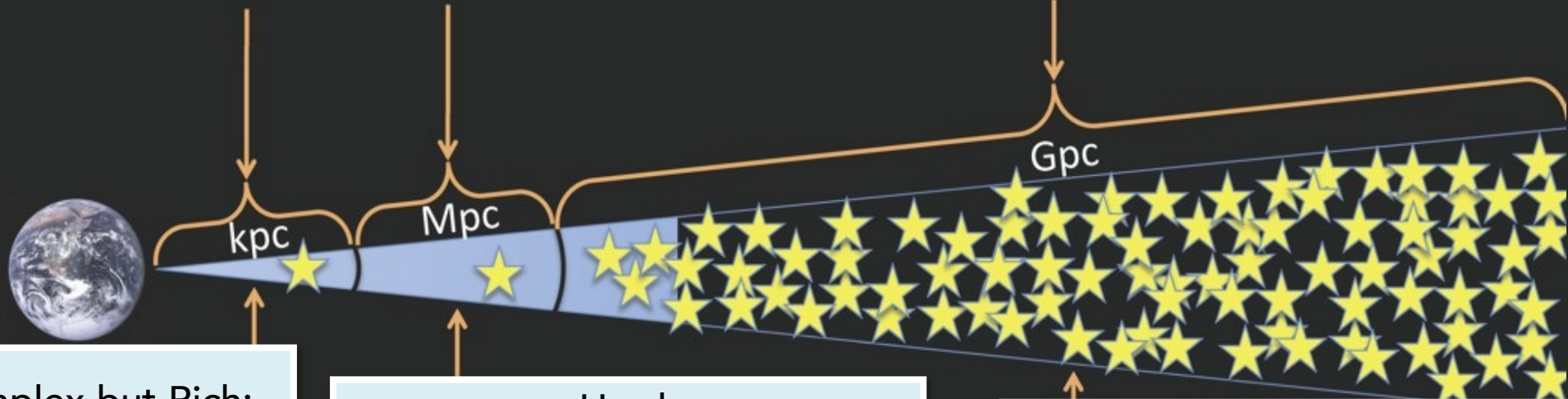
...

Here, There, Everywhere

$N \gg 1$: Burst

$N \sim 1$: Mini-Burst

$N \ll 1$: DSNB



Rare & Complex but Rich:
This Talk

Hard:
Need multi-messenger and/or
bigger detectors

Hard but Promising:
Gd in SK

Rate $\sim 0.01/\text{yr}$

Rate $\sim 1/\text{yr}$

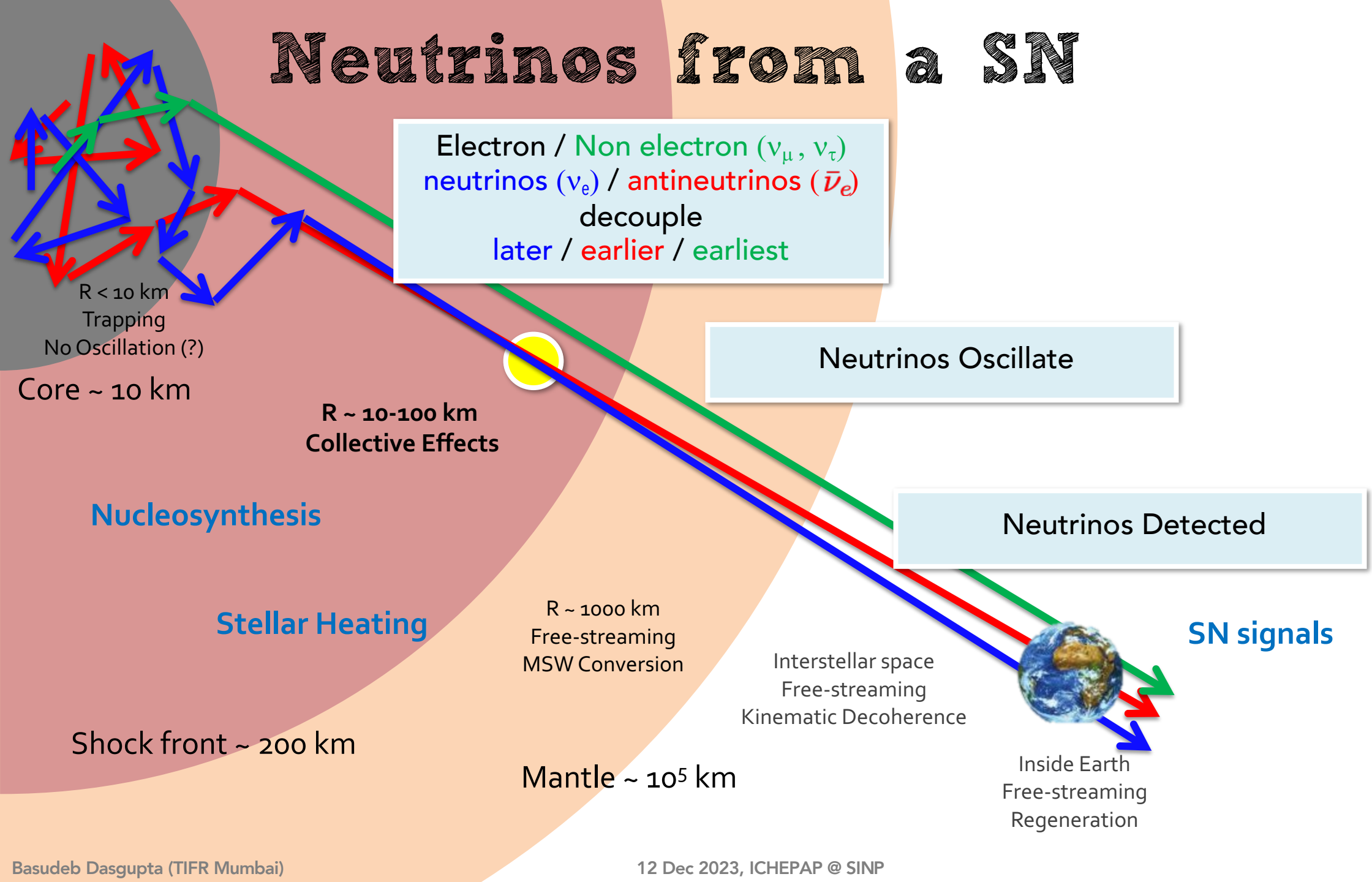
Rate $\sim 10^8/\text{yr}$

high statistics,
all flavors

object identity,
burst variety

cosmic rate,
average emission

Neutrinos from a SN



SN Neutrino Oscillations

$$i(\partial_t + \mathbf{v}_p \cdot \partial_p) \rho_p = + \left[\frac{M^2}{2E}, \rho_p \right] + \sqrt{2}G_F [L, \rho_p] + \sqrt{2}G_F \int \frac{d^3\mathbf{q}}{(2\pi)^3} (1 - \hat{\mathbf{p}} \cdot \hat{\mathbf{q}}) [\rho_q - \bar{\rho}_q, \rho_p]$$

Vacuum oscillations depend on neutrino mass matrix M
Overall minus sign for antineutrinos

$$\omega = \frac{\Delta m^2}{2E}$$

MSW effect depends on ordinary matter density L, i.e. mainly electron density

$$\lambda = \sqrt{2}G_F n_e$$

Collective effects depends on the neutrino density

$$\mu = \sqrt{2}G_F n_\nu$$

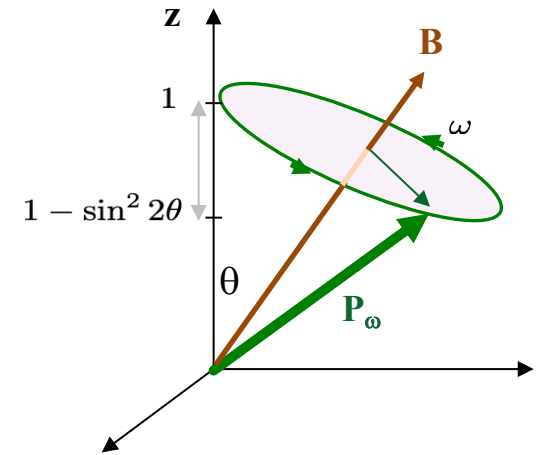
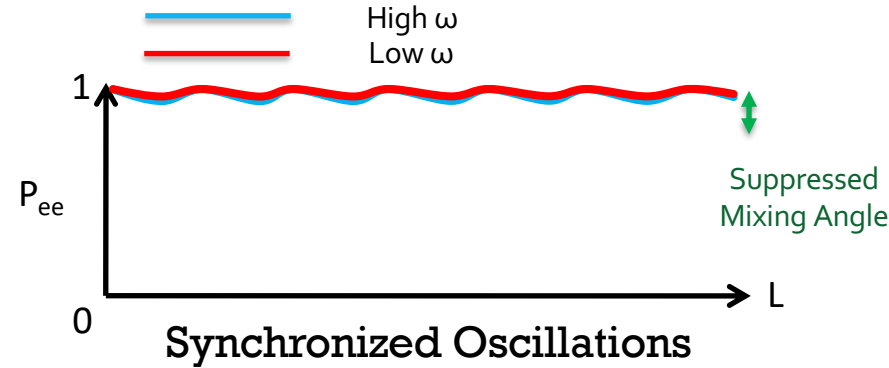
In general, a 7 dimensional problem
3 momentum (E, θ_p , ϕ_p) + 3 space (r, θ , ϕ) + 1 time (t)
Dimensionality of calculations denoted by $n_p + n_x + n_t$

Collective Oscillation: Instability

When density is high

$$\mu = G_F n_\nu \gg \frac{\Delta m^2}{2E} = \omega$$

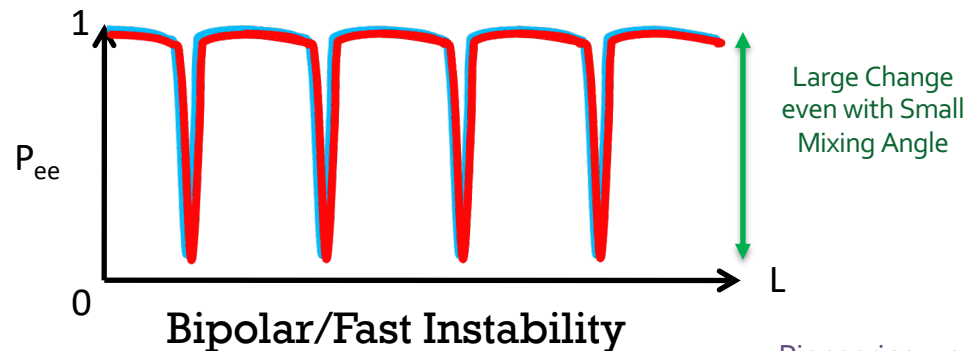
the collective oscillations have small amplitude



As neutrino density gets lower

$$G_F n_\nu \lesssim \frac{\Delta m^2}{2E}$$

the system can be unstable



Instability grows at rate
 $\text{sqrt}(\omega\mu)$... (slow)
 or proportional to μ ... (fast)

Pioneering work by Pantaleone, Kostelecky, Samuel in the 90s
 Second-wave in 2005-06 by Duan, Fuller, Carlson, Qian + others
 Fast Oscillations by Sawyer (2015)
 Chakraborty, Hansen, Izaguirre, Raffelt (2016)
 Dasgupta, Mirizzi, Sen (2016)

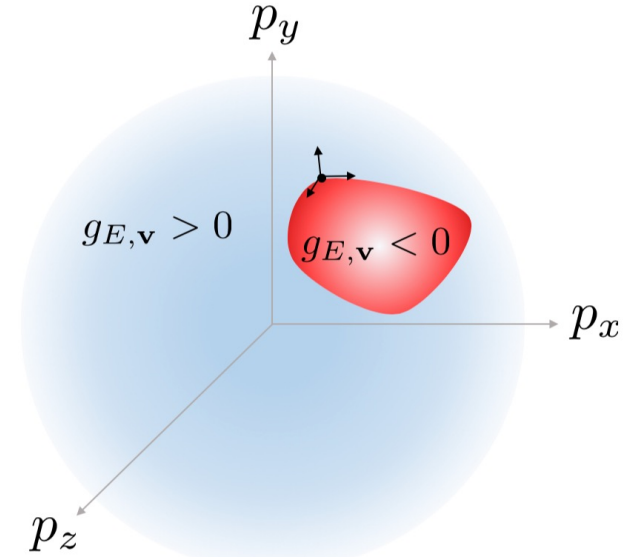
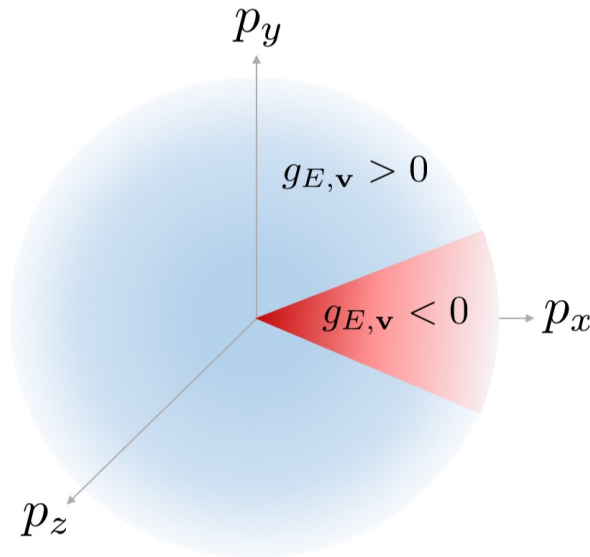
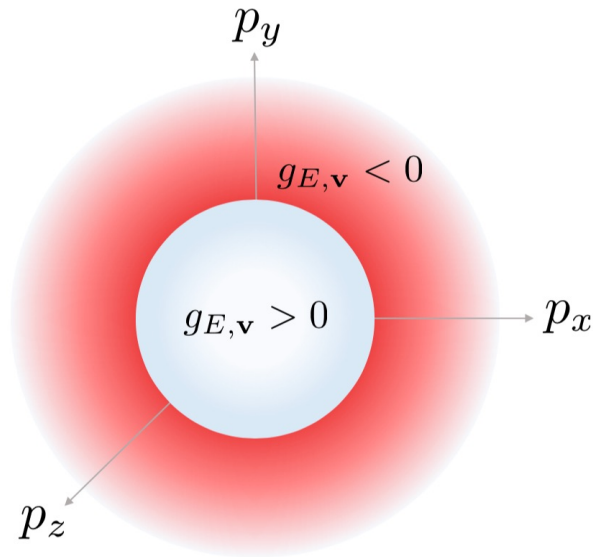
Crossing Theorem

Collective instability occurs *only if* momentum distributions of any two flavors cross each other around some momentum

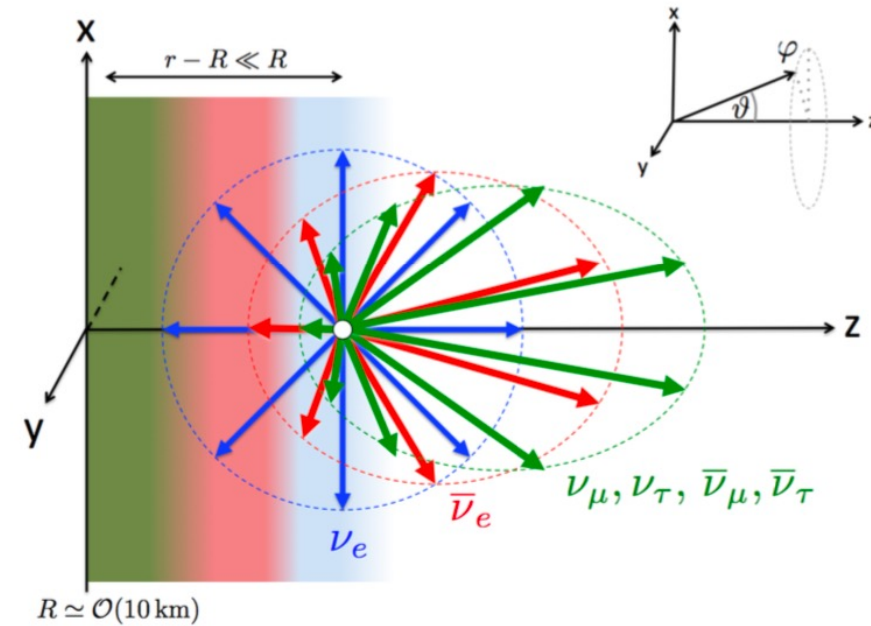
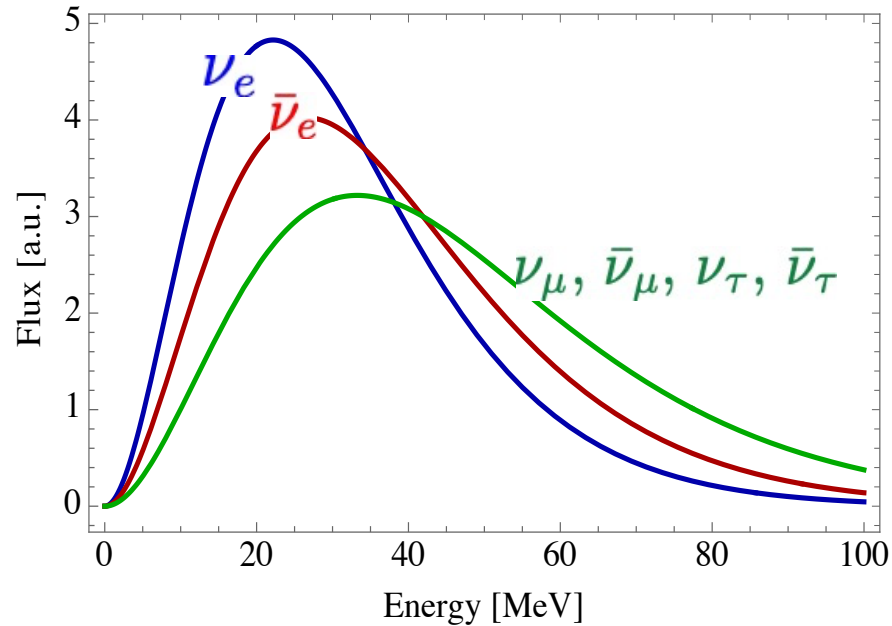
This is related to the positive-definiteness of a matrix

Dasgupta (2110.00192 ; PRL 2022)
Morinaga (PRD, 2022)

$$g_{\Gamma} = \sqrt{2}G_F \begin{cases} f_{\nu_e, \mathbf{p}} - f_{\nu_{\mu}, \mathbf{p}} & \text{for } E > 0, \\ f_{\bar{\nu}_{\mu}, \mathbf{p}} - f_{\bar{\nu}_e, \mathbf{p}} & \text{for } E < 0, \end{cases}$$



Why crossings exist



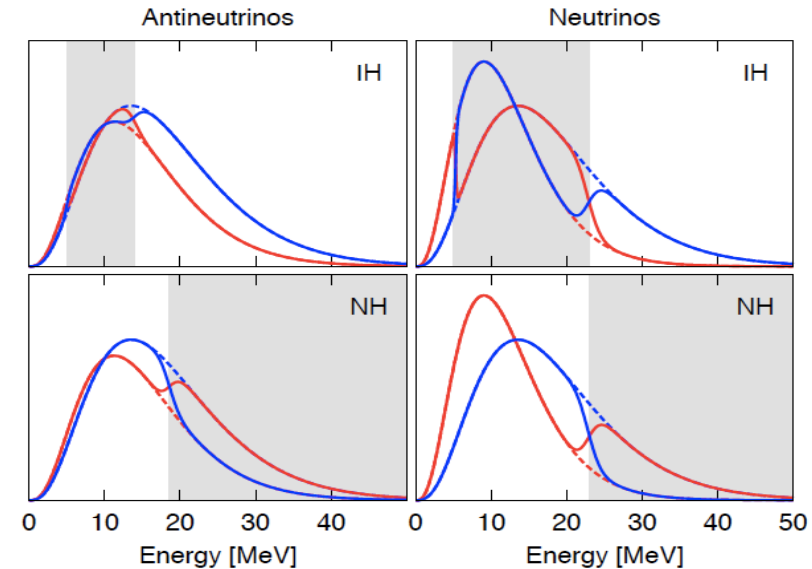
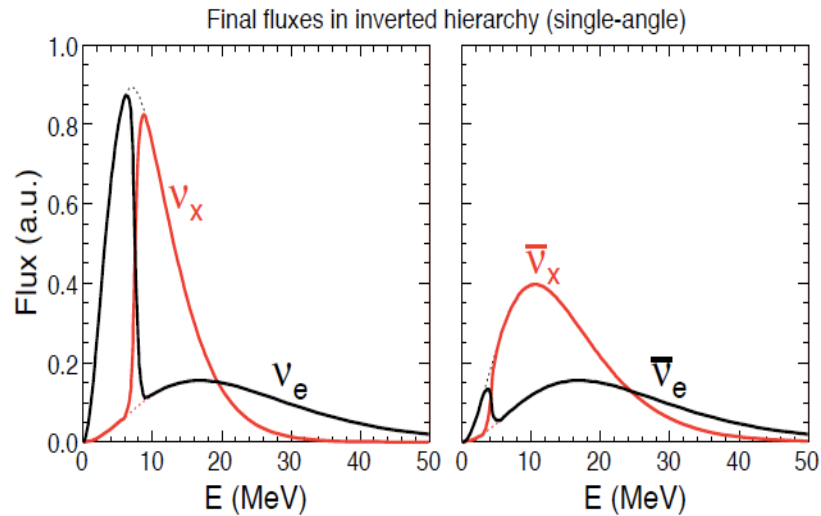
Different flavors have different energy spectrum

Crossing leads to (**slow**) instability

Different flavors have different angular distribution

Crossing leads to (**fast**) instability

Spectral Swaps due to Slow Effects



Portions of the energy spectra
get exchanged

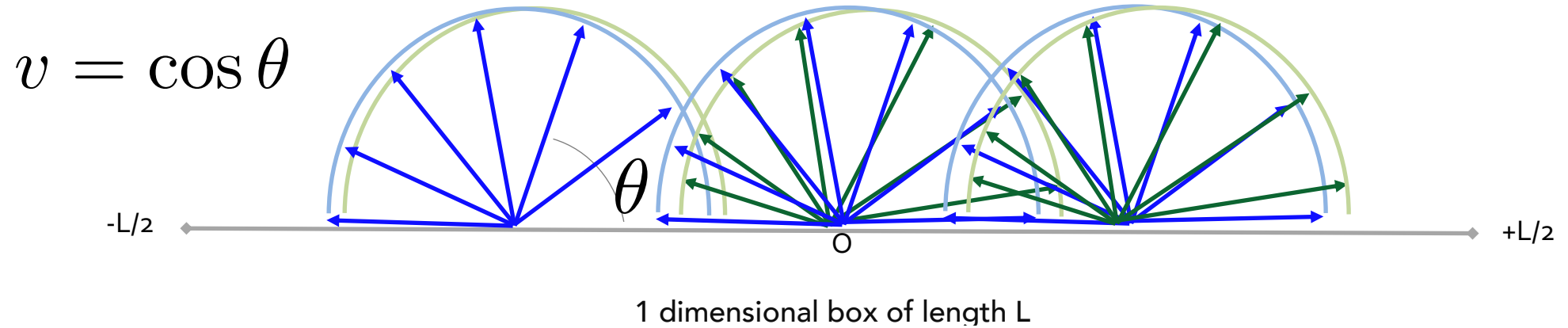
Initially thought to occur for
Inverted ordering

Later realized that this occurs for
both orderings and there can be
multiple spectral splits

Seminal papers by Duan, Fuller, Carlson, Qian (2005, 2006, 2007)
Raffelt and Smirnov (2007, 2007)
Fogli, Lisi, Marrone, Mirizzi (2008)

Dasgupta, Dighe, Raffelt, Smirnov (2009)
Friedland (2010)

Fast Oscillation : Numerics



$$(\partial_t + v\partial_z)S_v = \mu_0 \int_{-1}^{+1} dv' G_{v'} (1 - vv') S_{v'} \times S_v$$

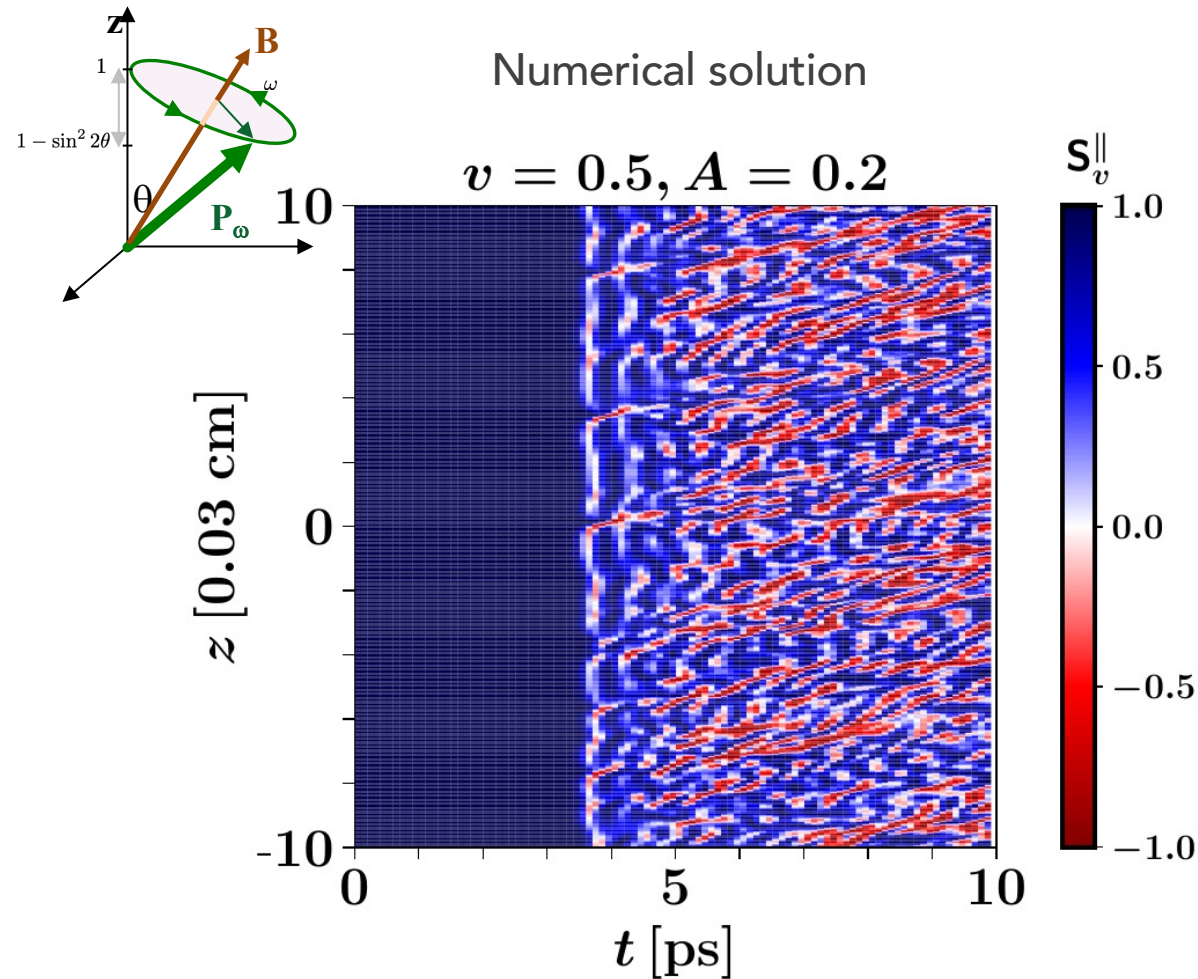
Neutrinos labeled by velocity emitted in **electron** or **muon** state at a small region of size L

Net-emission at velocity v is $G_v = f_e(v) - f_{\text{muon}}(v)$

which is the difference of phase space distributions of the two flavors at each v .

1+1+1d calculation Bhattacharyya and Dasgupta (PRD 2020 ; PRL 2021, 2205.05129)

Fast Mixing due to Fast Instability



Analytical Understanding via Coarse-graining

$$\partial_t \langle M_n \rangle = \frac{\langle M_1 \rangle}{2} \left(\partial_n^2 \langle M_n \rangle + \frac{1}{n} \partial_n \langle M_n \rangle \right)$$

A diffusion of the "difference of flavors" to higher multipoles of emission angle (i.e., momentum)

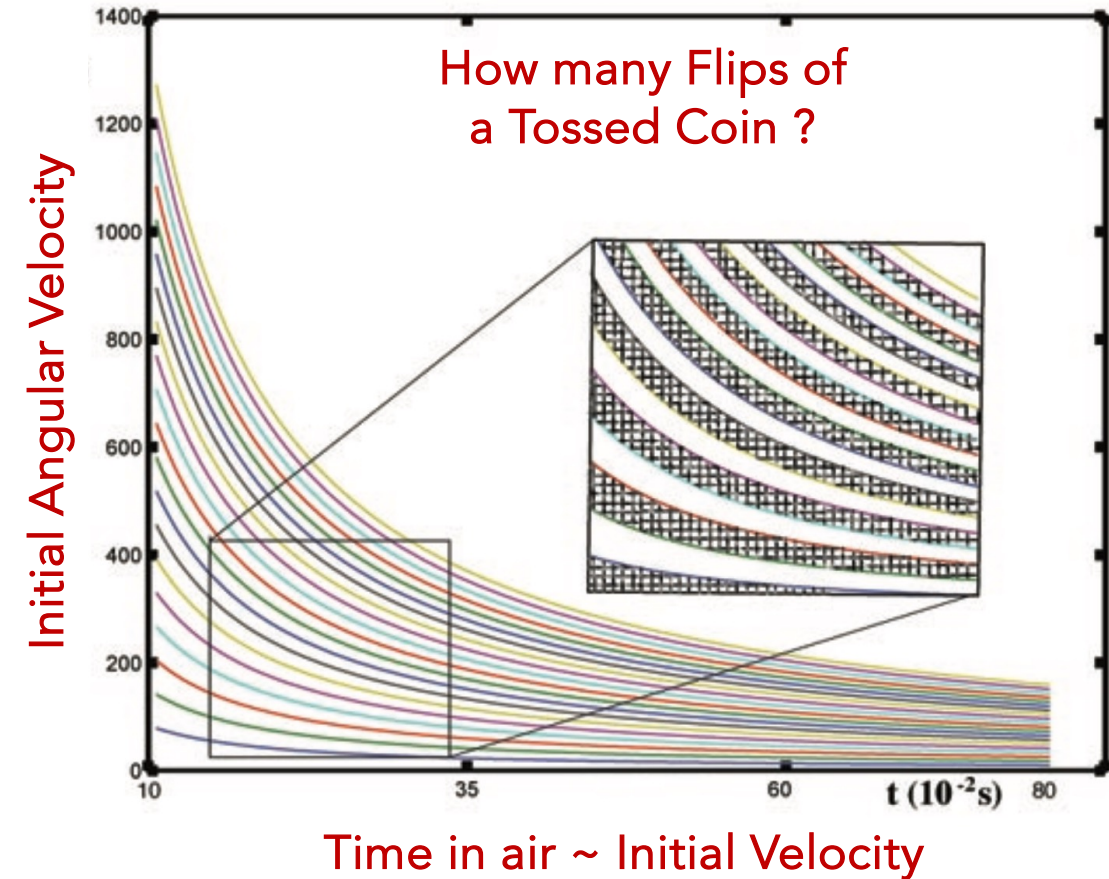
Several other groups have since obtained similar results

- Richers et al. @ Berkeley
- Wu et al. @ Taiwan
- Sigl @ Hamburg

Survival Probability starts at 1
Oscillates coherently a few times
And then decoheres

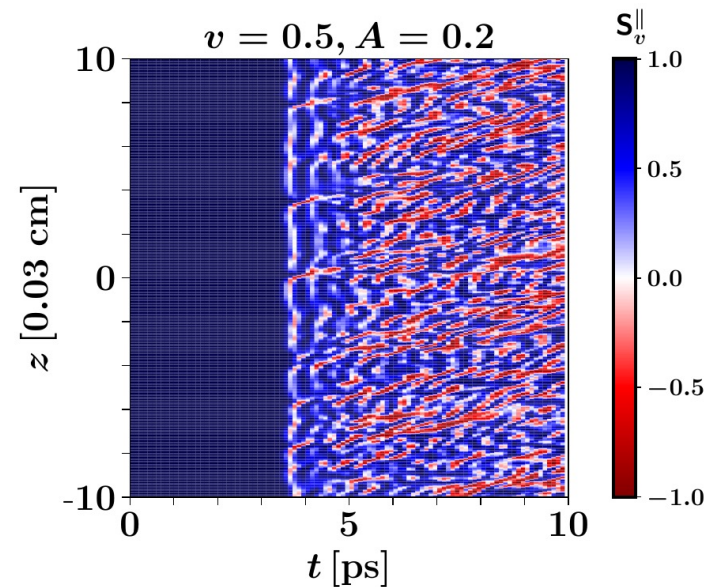
Bhattacharyya and Dasgupta (PRD, 2020 ; PRL 2021)

Probability from Certainty



Coarse-grained measurements lead to probabilities via strong averaging over deterministic possibilities

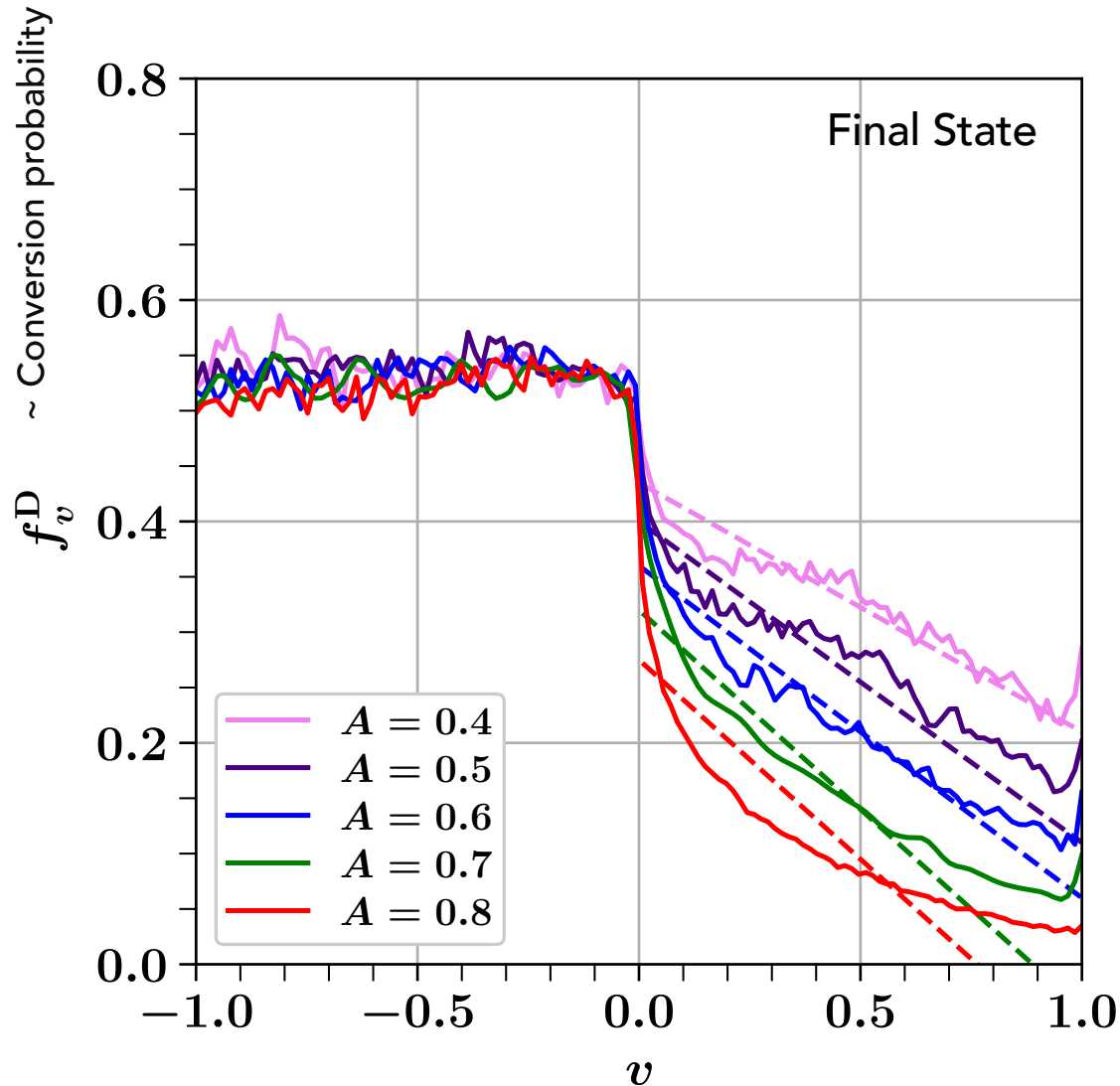
Coarse-graining can lead to apparent irreversibility even if the evolution is reversible



Coarse-grained probability

Fig. from Diaconis, Holmes, Montgomery (2007)

Fast Depolarization



$$f_v^D \approx \begin{cases} \frac{1}{2} - \frac{A}{4} - \frac{3A}{8} v, & \text{if } v > 0 \\ \frac{1}{2}, & \text{if } v < 0 \end{cases}$$

Bhattacharyya and Dasgupta (PRL, 2021)

Can approximately predict the spatially **coarse-grained** degree of flavor mixing *without* full numerical solution.

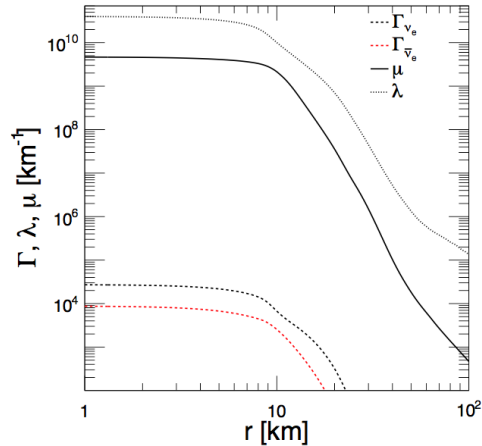
**If you do not know how to
include collective oscillations in your
SN neutrino analysis what should you do?**

**Assume “Flavor Equilibrium”
if Crossing Exists**

Bhattacharyya and Dasgupta (PRL, 2021)

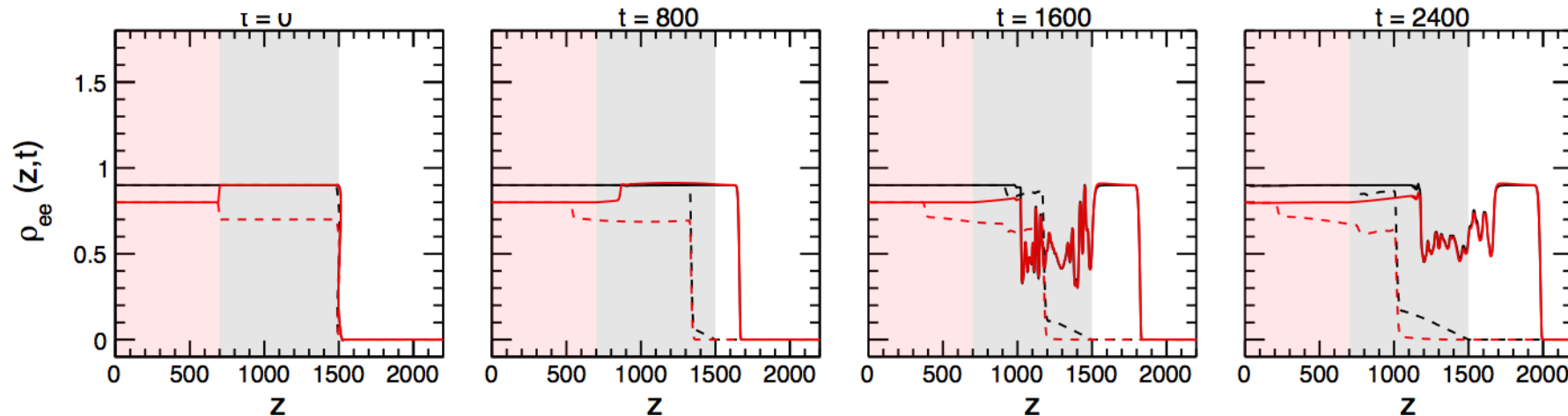
Caution: This is very simplified advice but a good starting point
One should do better : Partial Flavor Equilibrium
Need more realism: Contact your favorite SN Neutrino Physicist

Collisions vs. Oscillations



Collisions can be strong enough to create a difference between electron neutrinos and antineutrinos. Yet, may not damp oscillations.

Capozzi, Dasgupta, Mirizzi, Sen (2018)
 See also Johns (2021)
 Martin, Carlson, Cirigliano, Duan (2021)
 Sasaki, Takiwaki (2021)
 Shalgar and Tamborra (2021)



Do fast conversions, once generated, penetrate the SN core?

Related work

- Mean-field treatment via Wigner fns.

Birol, Pehlivan, Balantekin, Kajino (2018)
Stirner, Sigl, Raffelt (2018)
Vlasenko, Fuller, Cirigliano (2014)
Volpe, Vaananen, Espinoza (2013)
Cardall (2008)

- Wavepackets/Kinematic decoherence

Akhmedov, Kopp, Lindner (2017)
Hansen, Smirnov (2016)

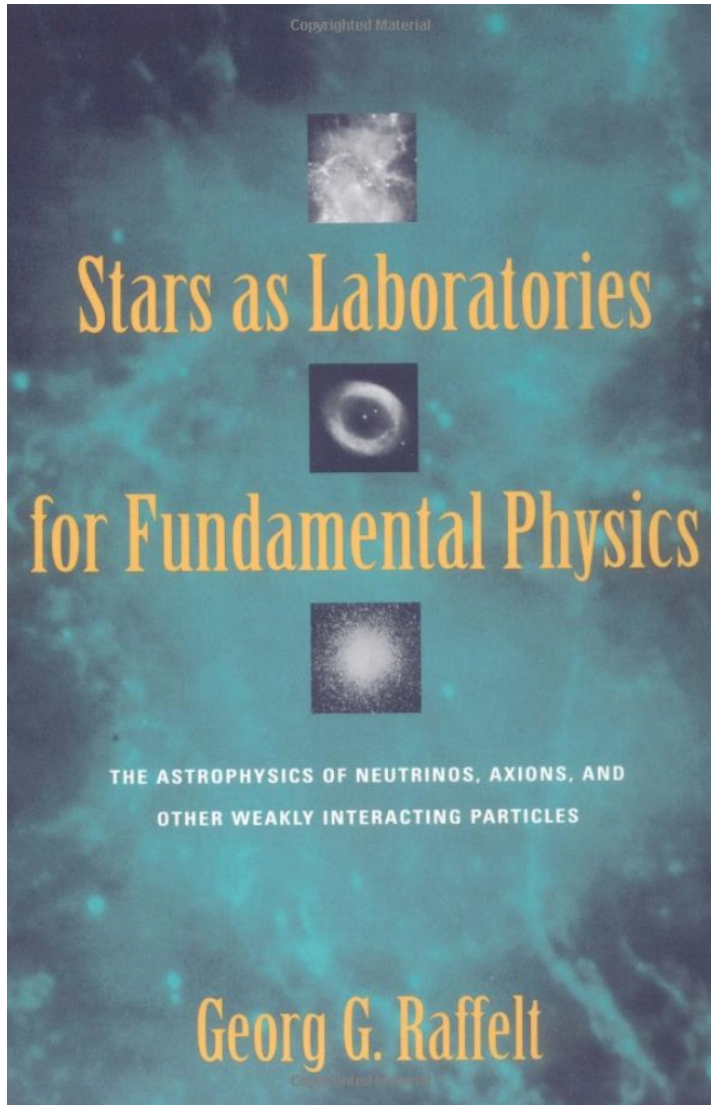
- Sterile nus, NSI, BSM, ...

Skipping non-standard physics for lack of space and time

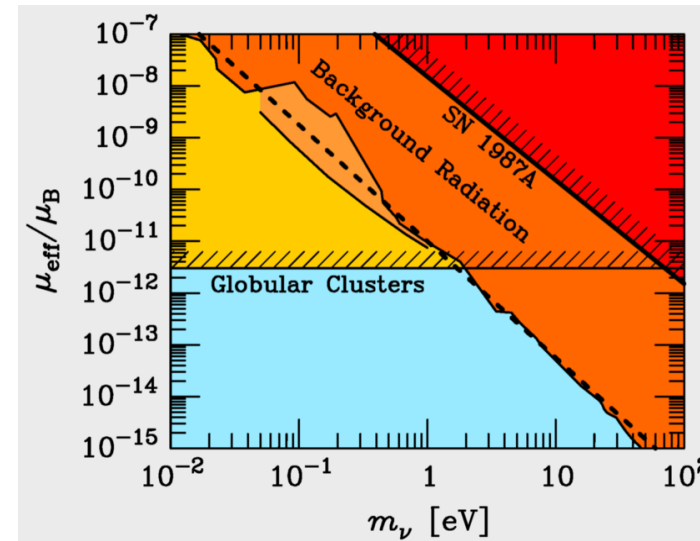
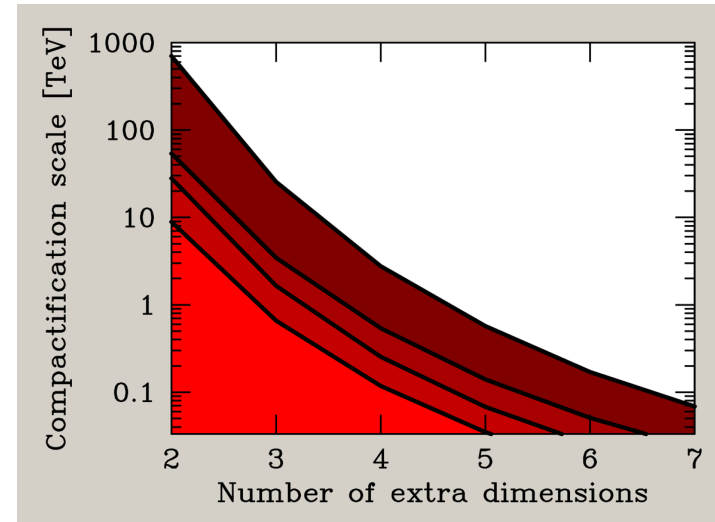
- Interpretation of collective effects

Hansen and Smirnov (2018)
Morinaga and Yamada (2017)

Fundamental Physics with Stars

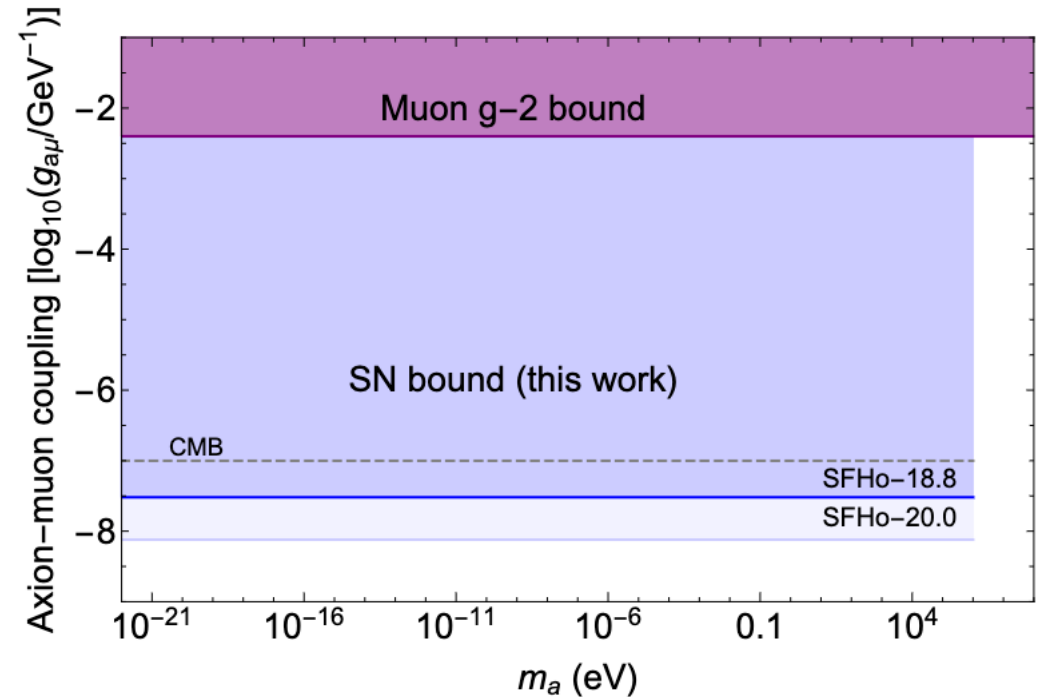


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New Ingredients: e.g. Muons

Temperature in SN \sim 30-60 MeV.
Muons are not heavily suppressed.
Can use this to put bounds on BSM physics coupling to muons, e.g., an axion coupling to muons, or a light feebly interacting $L_e - L_\mu$ boson.



Bollig et al. (PRL, 2017)

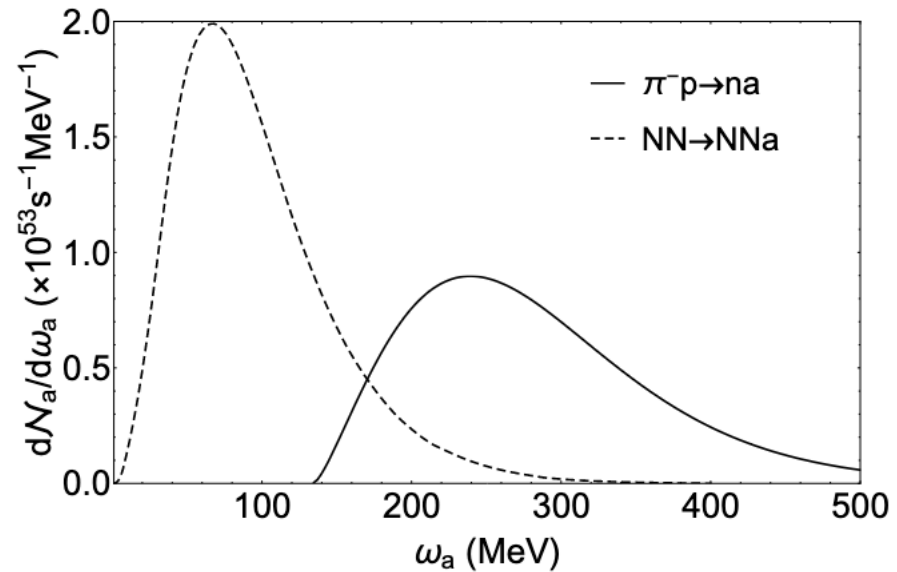
Bolling, de Rocco, Graham, Janka (PRL, 2017)

Croon, Elor, Leane, McDermott (2020)

Caputo, Raffelt, Vitagliano (PRD, 2022)

Better limits from better models of SN, and inclusion of previously ignored physical effects

New Processes : e.g. $NN \rightarrow NNa$

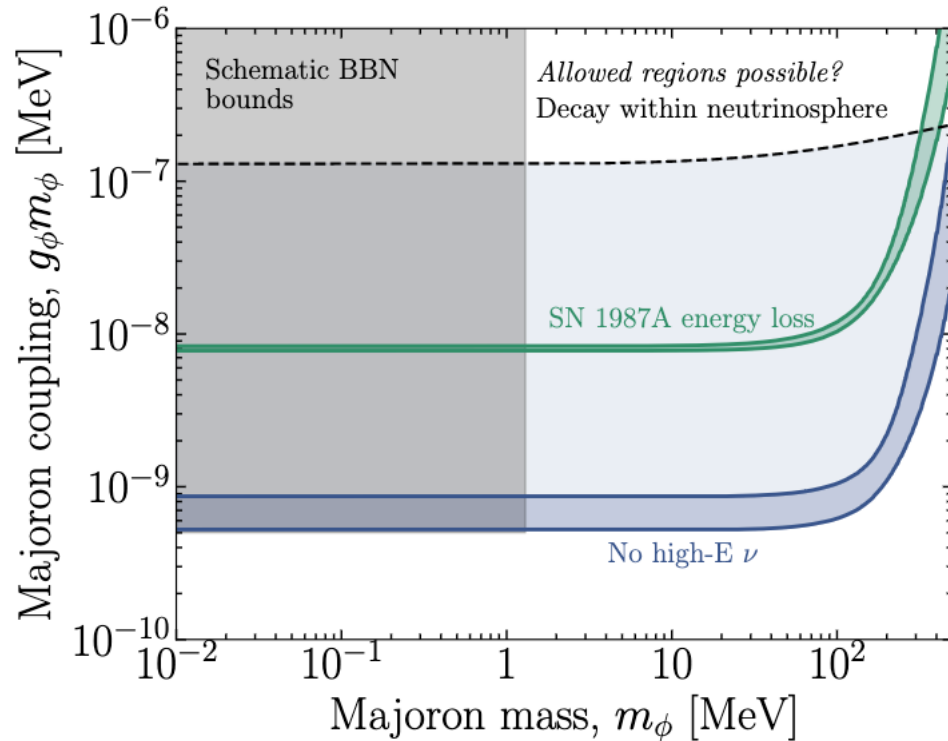


Newly considered processes that produce axions more prominently at higher energies.

Fore, Reddy (PRC, 2020)

Carenza, Fore, Gianotti, Mirizzi, Reddy (PRL, 2020)

New Arguments: e.g. HENs

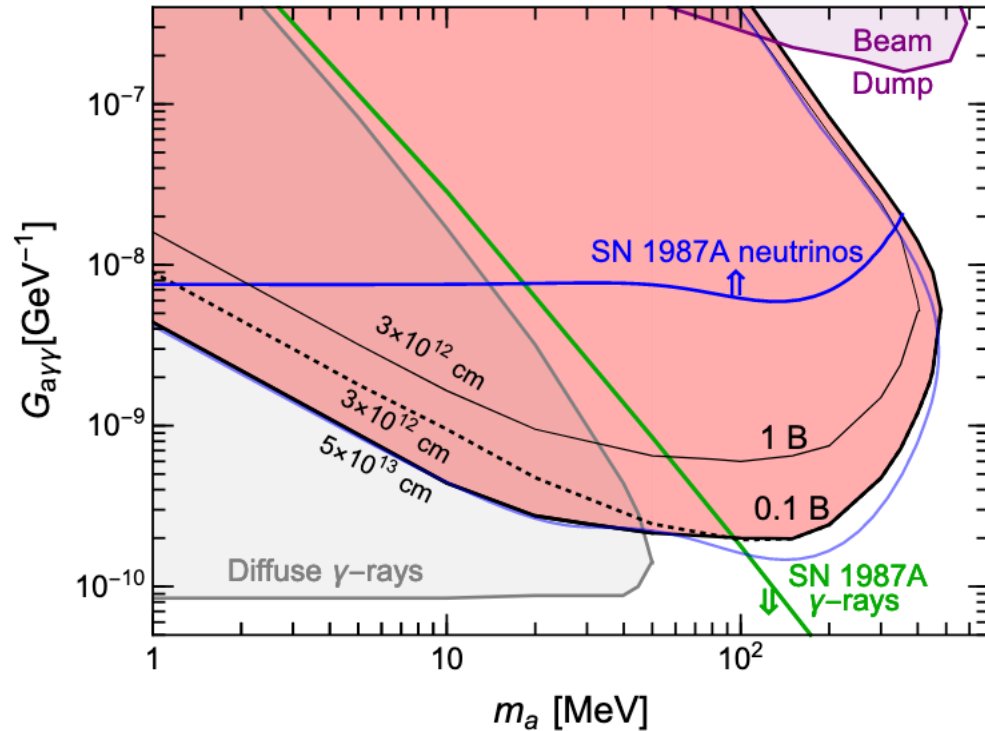


A Majoron not only steals energy from core of SN, it can also decay back into high-E neutrinos.

Non-observation of high-E neutrinos from SN gives stronger bounds than cooling.

Fiorillo, Raffelt, Vitagliano (2209.11773)

New Arguments: e.g. Ejecta Energy

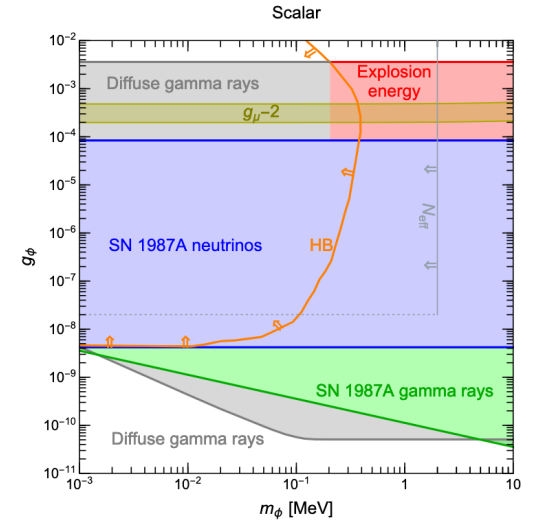


A BSM particle produced in SN not only cools but also interacts and redeposits energy in ejecta

Observed ejecta energy can give stronger or complementary bounds.

Caputo, Janka, Raffelt, Vitagliano (PRL, 2022)

SN Bounds Galore



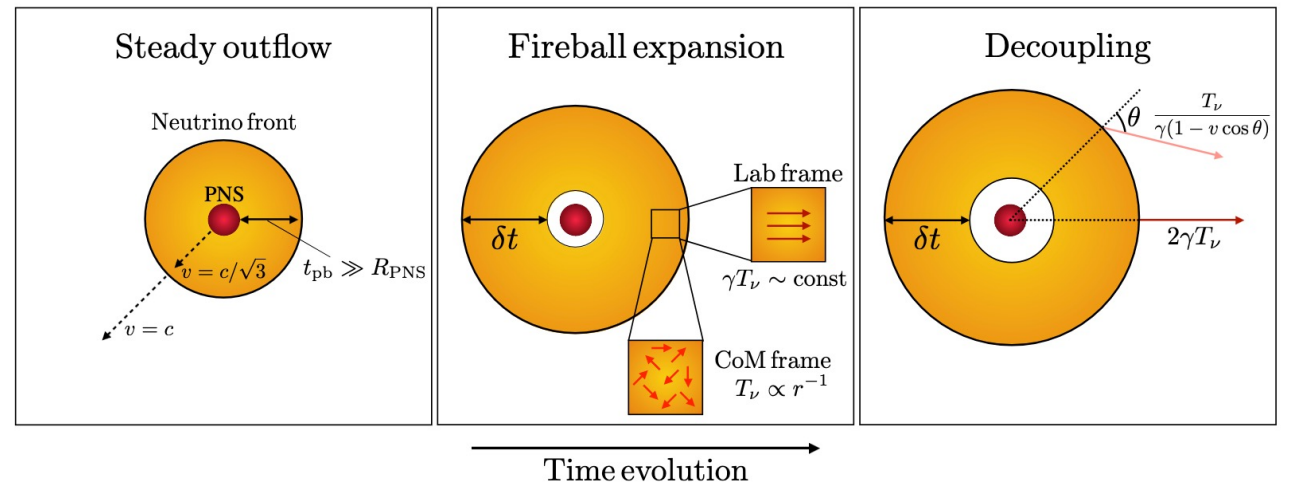
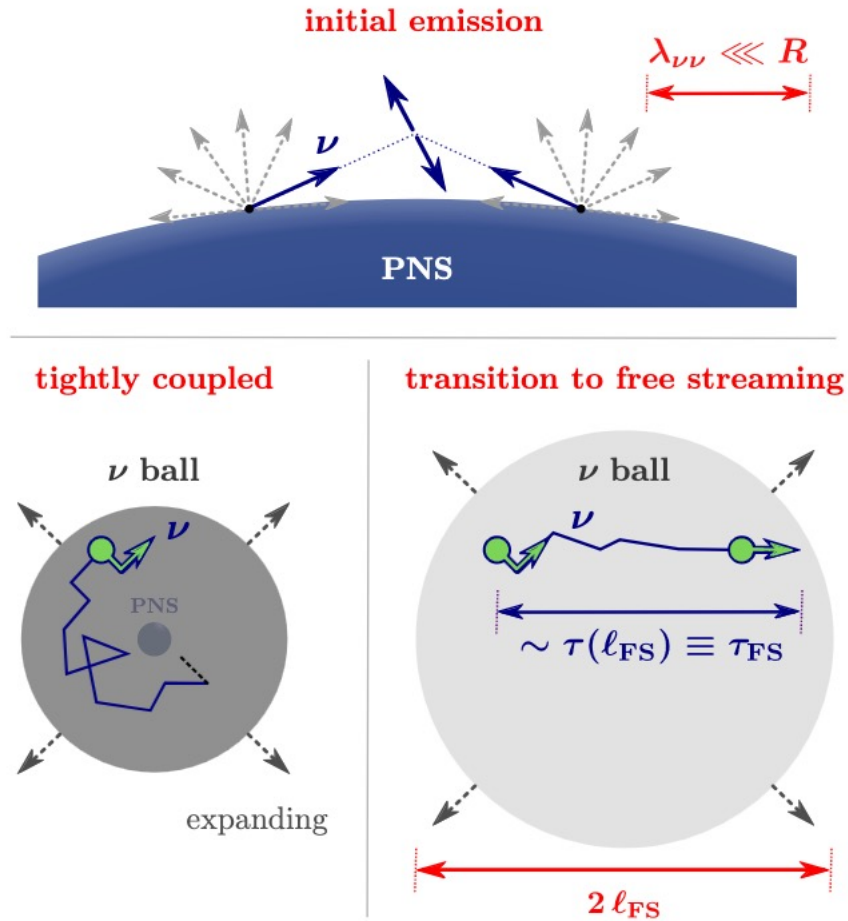
Pseudoscalars (g_a)		Scalars (g_ϕ)		Vectors (g_Z)	ALPs ($G_{a\gamma\gamma}$)
tree	full	tree	full	tree	[GeV $^{-1}$]
Trapping regime, lower limits on coupling strength					
• Explosion energy					
—	$0.24 (0.22) \times 10^{-2}$	—	$0.36 (0.33) \times 10^{-2}$	—	$5.3 (4.8) \times 10^{-5}$
• SN 1987A energy loss					
$6.2 (2.9) \times 10^{-4}$	$0.96 (1.2) \times 10^{-4}$	$0.11 (0.59) \times 10^{-4}$	$0.84 (0.56) \times 10^{-4}$	$0.74 (0.41) \times 10^{-4}$	$2.1 (3.0) \times 10^{-6}$
Free-streaming regime, upper limits on coupling strength					
• SN 1987A energy loss					
$3.5 (9.1) \times 10^{-9}$	same	$1.2 (2.7) \times 10^{-9}$	same	$2.7 (1.22) \times 10^{-9}$	$7.5 (3.4) \times 10^{-9}$
• SN 1987A, γ rays, $\times \sqrt{0.1 \text{ MeV}/m_{a,\phi}}$					
—	$5.5 (3.2) \times 10^{-10}$	—	$3.5 (2.2) \times 10^{-10}$	—	$6.3 (3.9) \times 10^{-11}$
• All past SNe, γ rays, short-lived bosons, $\times (1/n_7^{\text{cc}})^{1/2}$					
—	$0.72 (0.21) \times 10^{-10}$	—	$0.32 (0.11) \times 10^{-10}$	—	$0.81 (0.24) \times 10^{-10}$
• All past SNe, γ rays, long-lived bosons, $\times (0.1 \text{ MeV}/m_{a,\phi}) \times (1/n_7^{\text{cc}})^{1/4}$					
—	$0.46 (0.27) \times 10^{-10}$	—	$0.34 (0.21) \times 10^{-10}$	—	$0.65 (0.39) \times 10^{-11}$
HB stars in globular clusters, upper limits ($m_{a,\phi} \lesssim 200 \text{ keV}$)					
—	3.1×10^{-9}	—	4.6×10^{-9}	—	6.7×10^{-11}

Caputo, Raffelt, Vitagliano (PRD, 2022)

Cerdeno, Cerneno, Farzan (2023)

+ many more papers ... apologies for incomplete list

Puzzle: Neutrino Interactions



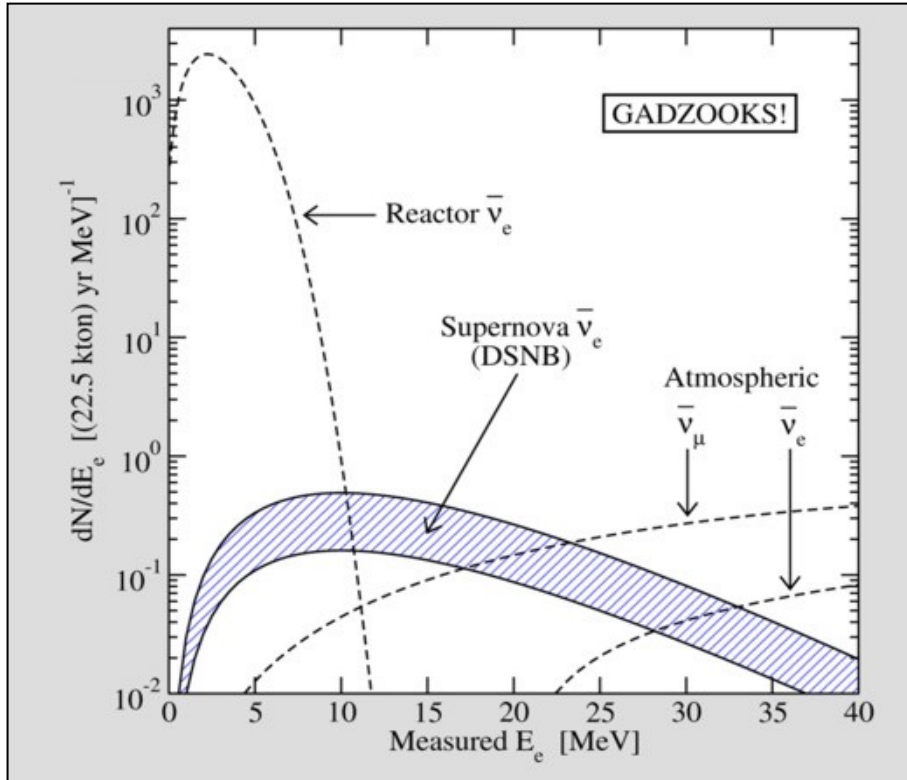
Neutrino secret interactions do not alter the duration or energetics of the burst

Fiorillo, Raffelt, Vitagliano (2307.15115)
see also Dicus, Nussinov, Pal, Teplitz (PLB 1989)

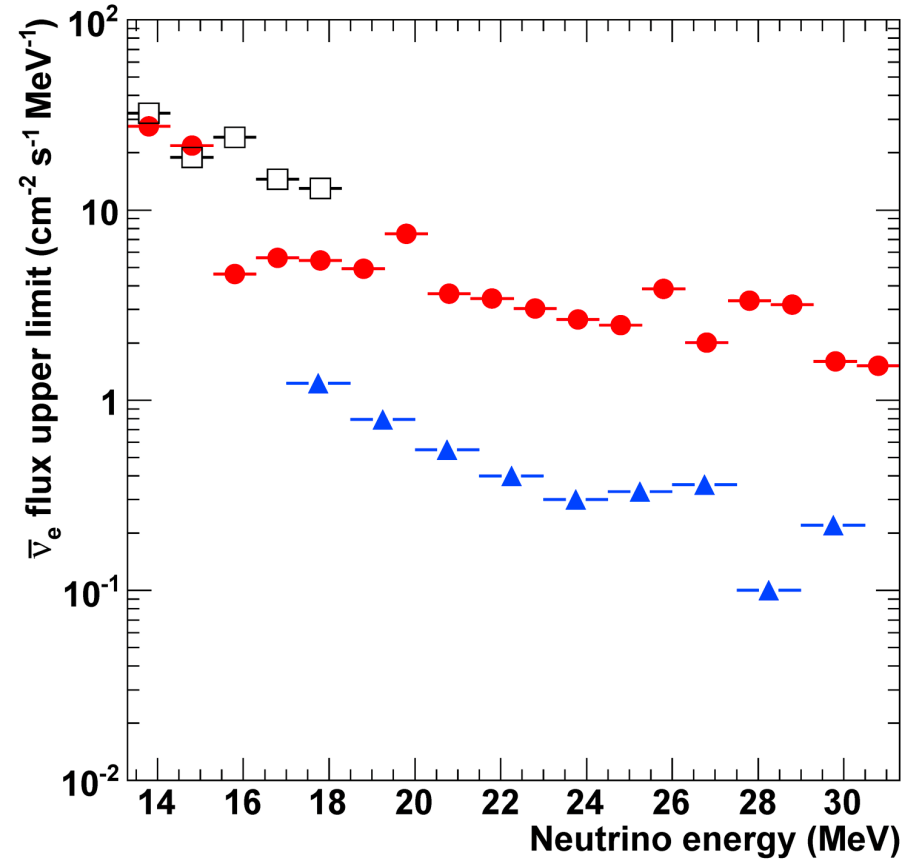
Chang, Istebar, Beacom, Thompson, Hirata (2206.12426)
+ previous papers ...

What more can we learn?

DSNB



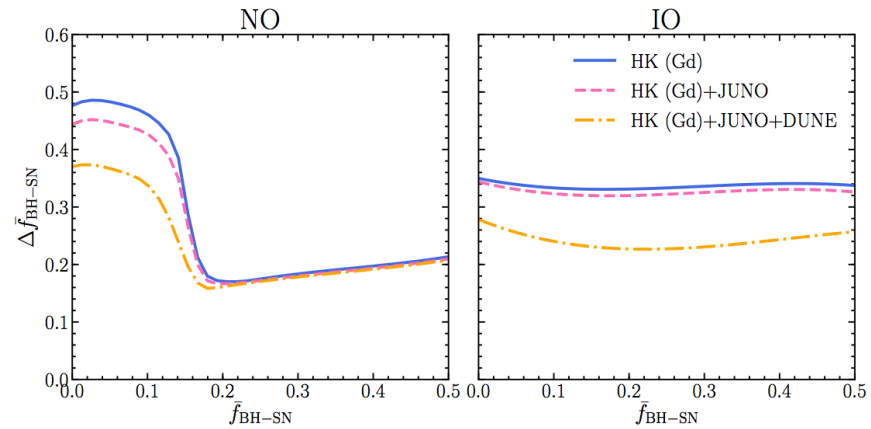
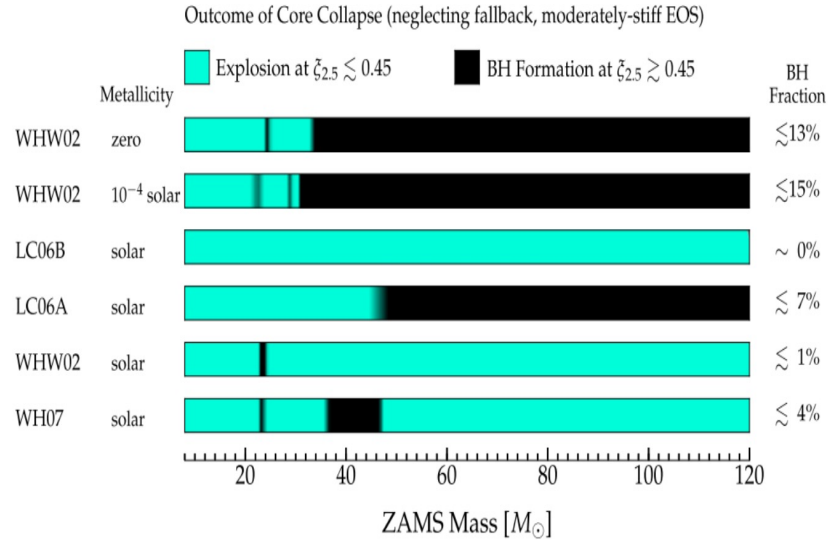
Zeldovic (1964), Bisnovatyi-Kogan and Seidov (1982)
 Plot from Beacom and Vagins (2003)
 See reviews by Lunardini (2010) and Beacom (2010)



Super-Kamiokande Collaboration (2013)

We may be close to detecting the DSNB.
 With Gd upgrade of Super-K this can be very promising.

Are there failed SN?



Some supernovae are not expected to explode

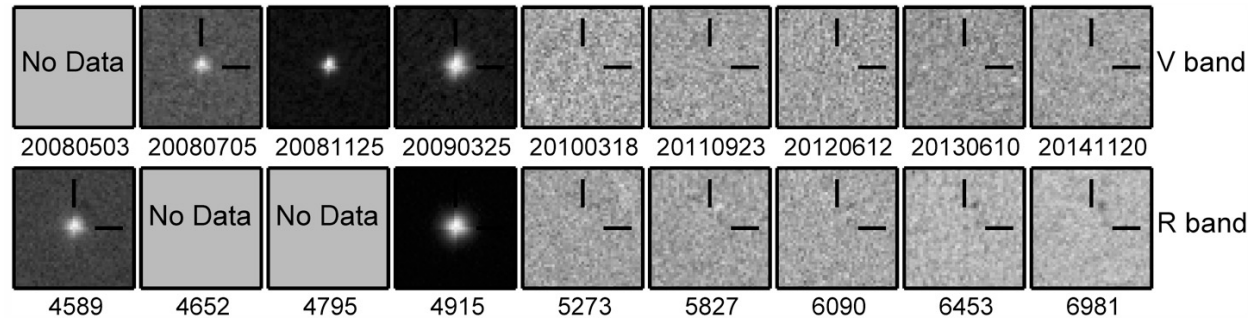
O'Connor and Ott (2013)

Ertl, Janka, Woosley, Sukhbold, Ugliano (2016)

DSNB is sensitive to the failed SN fraction

Lunardini (2009)

Moller, Suliga, Tamborra, Denton (2018)

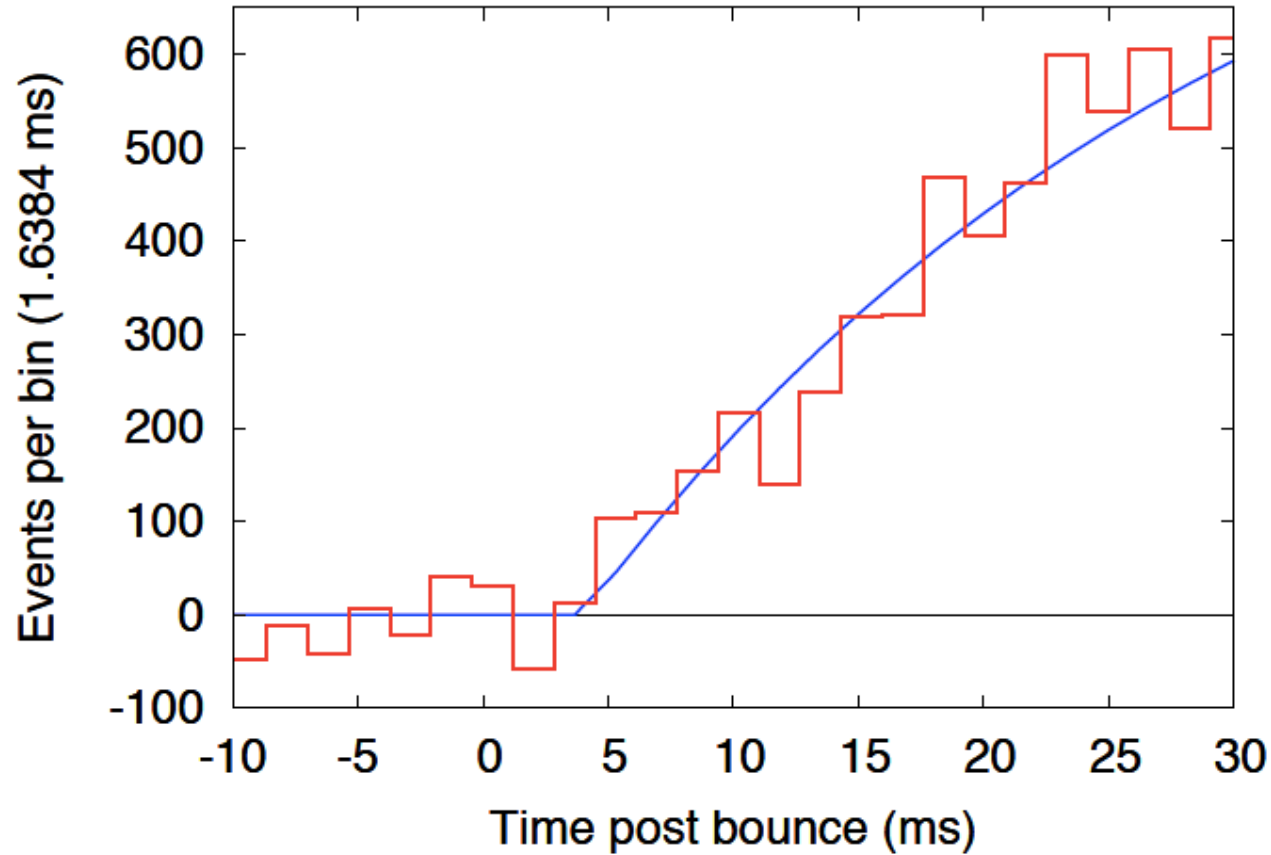


SN without a bang

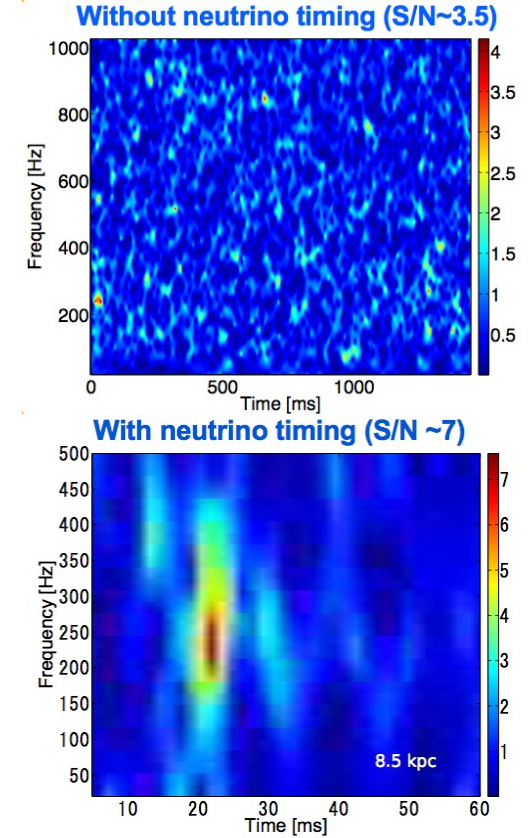
Gerke, Kochanek, Stanek (2014)

Reynolds, Fraser, Gilmore (2015)

Timing



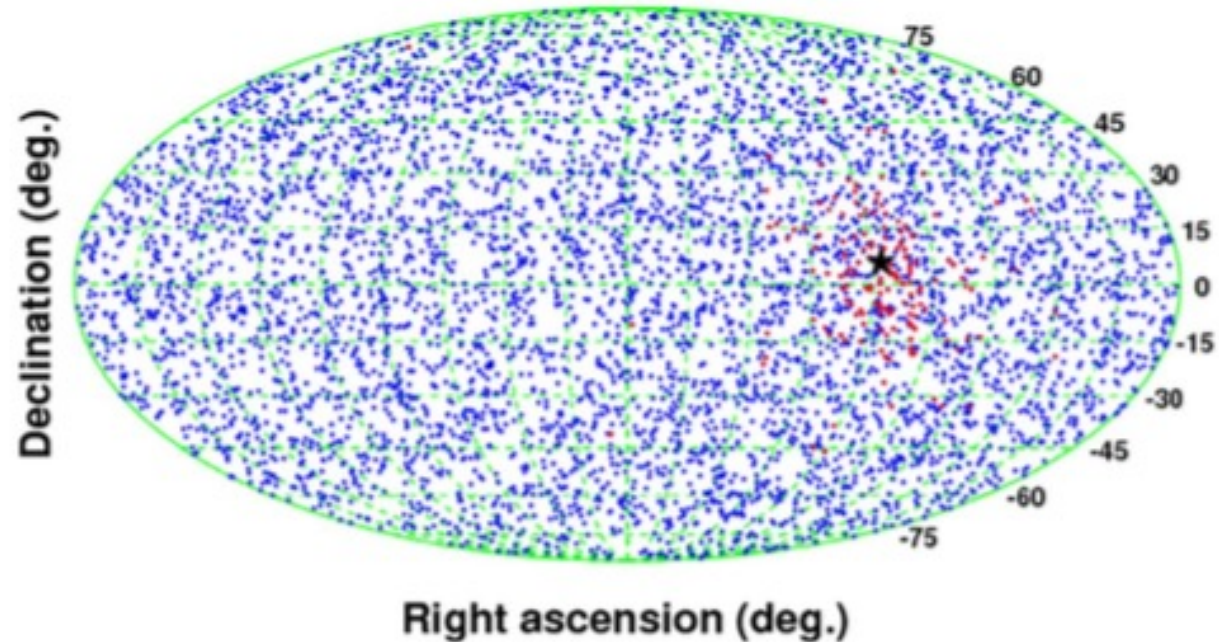
Pagliaroni, Vissani, Coccia, Fulgione (2009)
Plot from Halzen and Raffelt (2009)



Nakamura, Horiuchi, Tanaka, Hayama,
Takiwaki, Kotake (2016)

Improved ability to spot the signal with different messengers

Pointing



Using directionality of elastic scattering events and subtraction of tagged inverse beta “background”

Beacom and Vogel (1998)

Beacom and Vagins (2000)

Tomas, Semikox, Raffelt, Kachelriess, Dighe (2003)

Plot from Abe et al. for Super-K (2016)

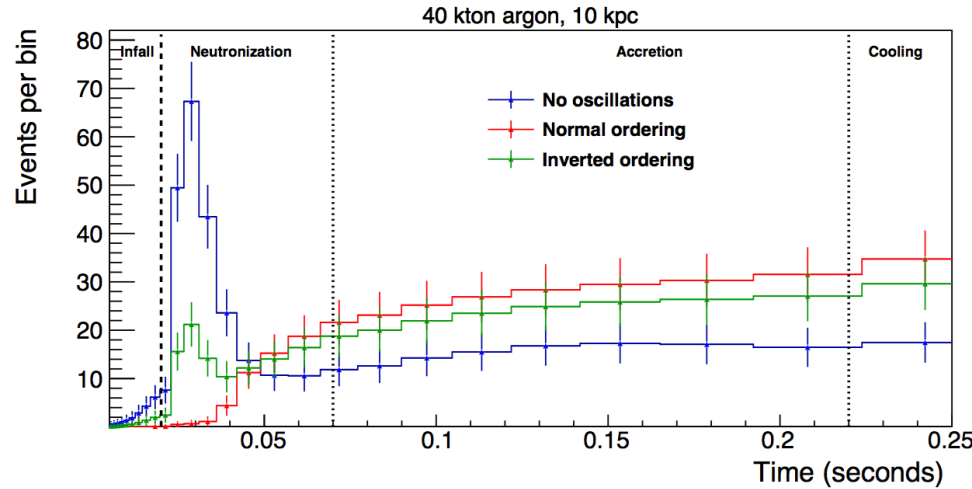
For triangulation: see Beacom and Vogel (1998)

Muhlbeier, Nunokawa, and Zukanovich Funchal (2013)

Brdar, Lindner, Xu (2018)

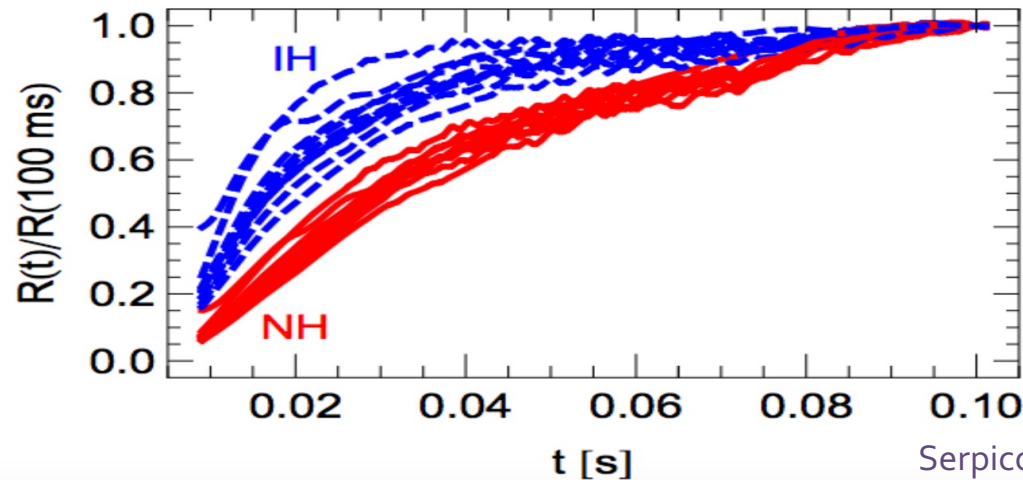
Pointing accuracy of a few degrees for SN at 10 kpc

Mass Ordering



No neutronization peak seen in electron neutrinos for inverted mass ordering

Plot from E. Worcester's talk at Neutrino 2018
Wallace, Burrows, Dolence (2015)
Kachelriess, Tomas, Buras, Janka, Marek, Rampp (2004)



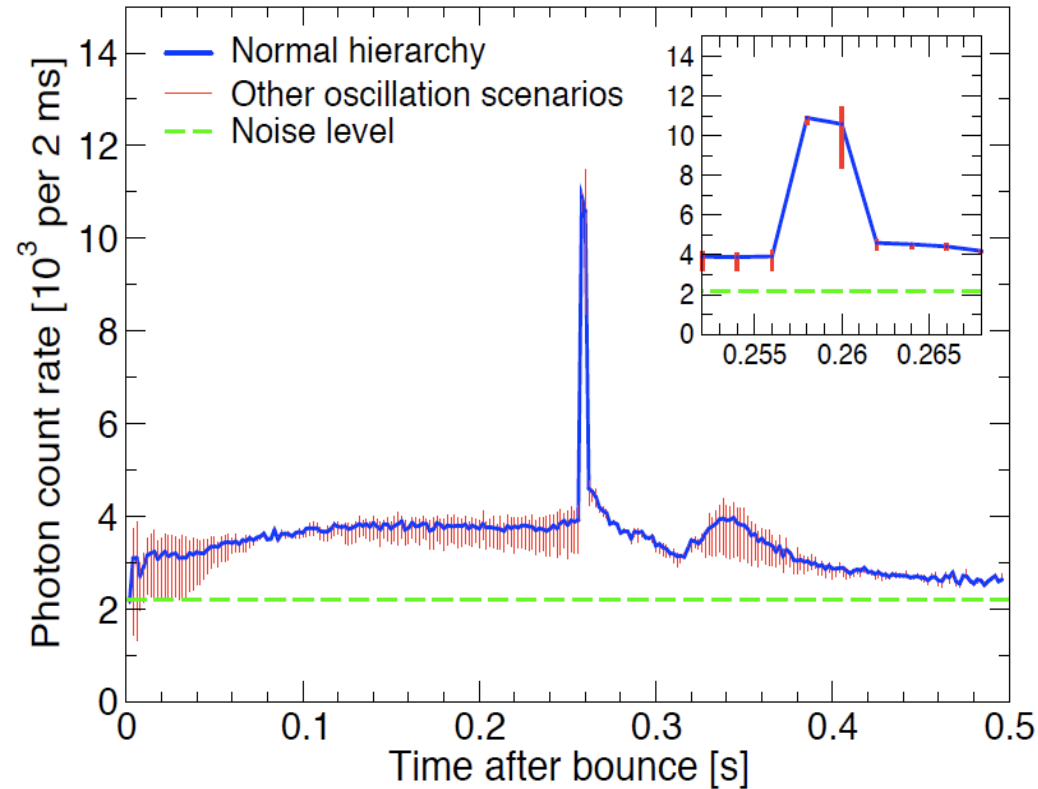
Electron antineutrino signal rises faster for inverted ordering

Note: This can change if fast conversions occurs in the accretion phase

Serpico, Chakraborty, Fischer, Hudepohl, Janka, Mirizzi (2011)

Neutronization burst can reveal the neutrino mass ordering

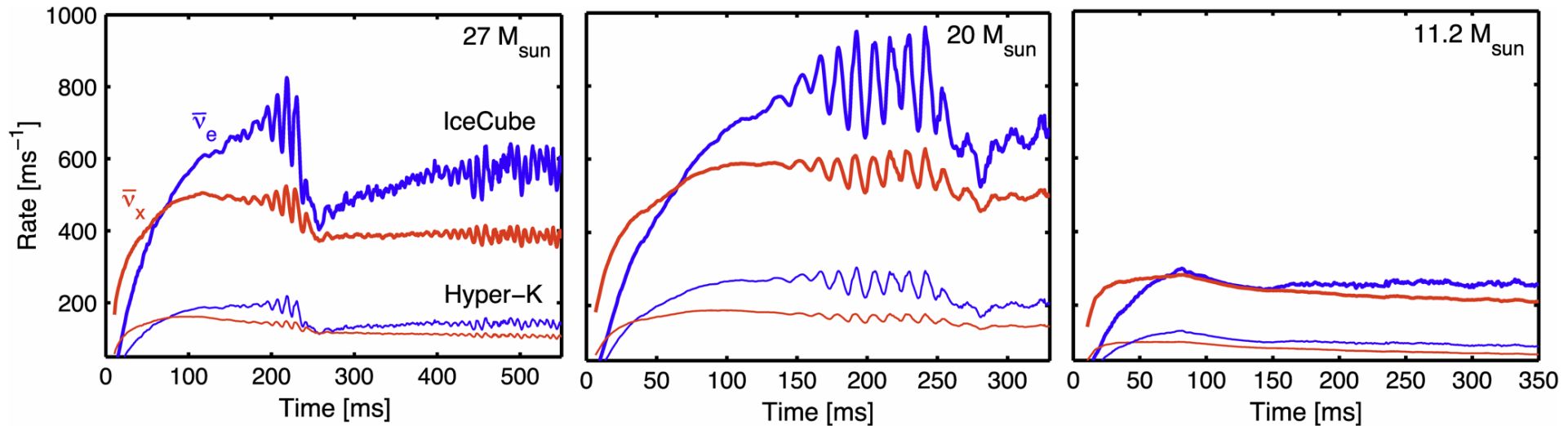
QCD transition in SN



Dasgupta, Fischer, Horiuchi, Liebendoerfer, Mirizzi, Sagert, Schaffner-Bielich (2009)
Simulation by Sagert, Fischer, Hempel, Pagliara, Schaffner-Bielich, Mezzacappa, Thielemann, Liebendoerfer (2008)

Neutrino telescopes are exquisitely sensitive to anything that affects the electron antineutrino lightcurve

SASI Signatures



$27 M_{\text{sun}}$ star
Multiple SASI
episodes and
convection

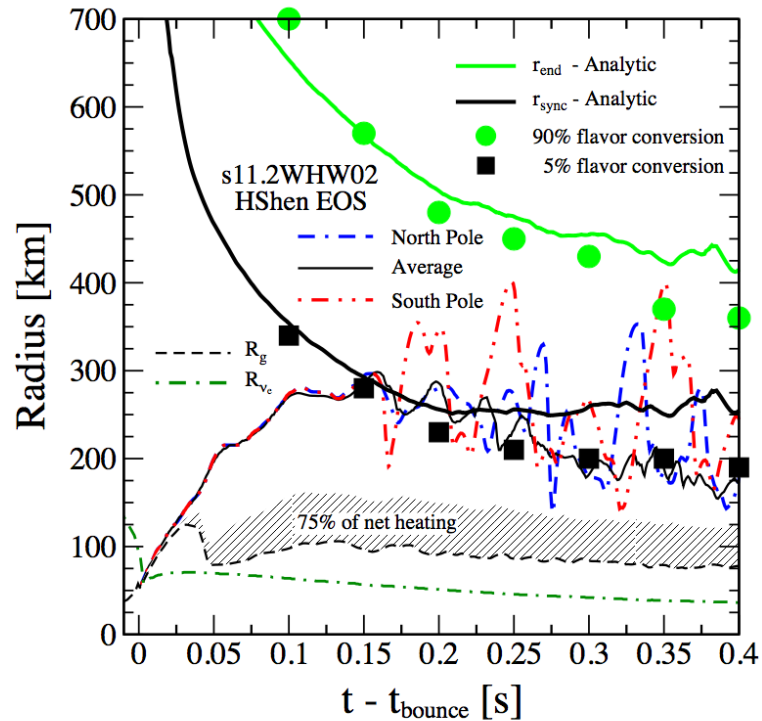
$20 M_{\text{sun}}$ star
Single SASI
episode and
convection

$11.2 M_{\text{sun}}$ star
No SASI
episode; only
convection

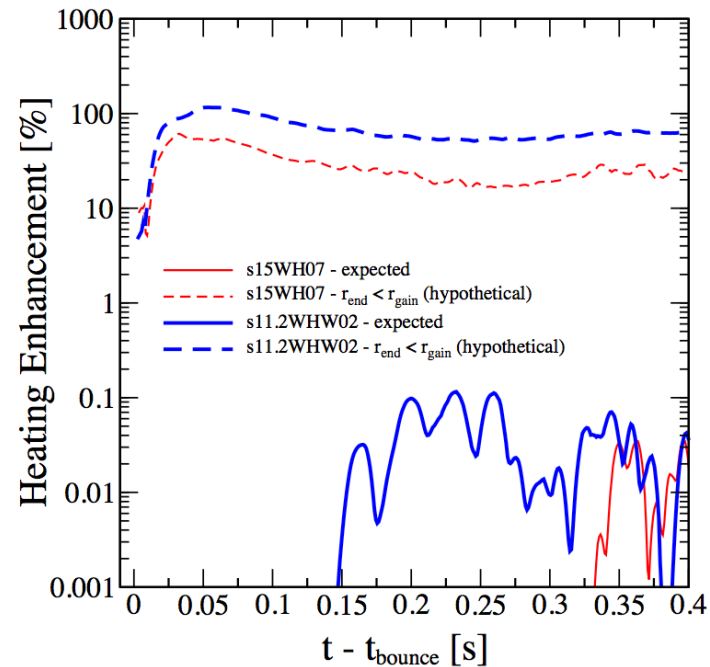
Tamborra, Raffelt, Hanke, Janka, Mueller (2014)
Tamborra, Hanke, Mueller, Janka, Raffelt (2013)

The next galactic SN may reveal distinct signatures of the neutrino mechanism

Fast Conversions = Heating

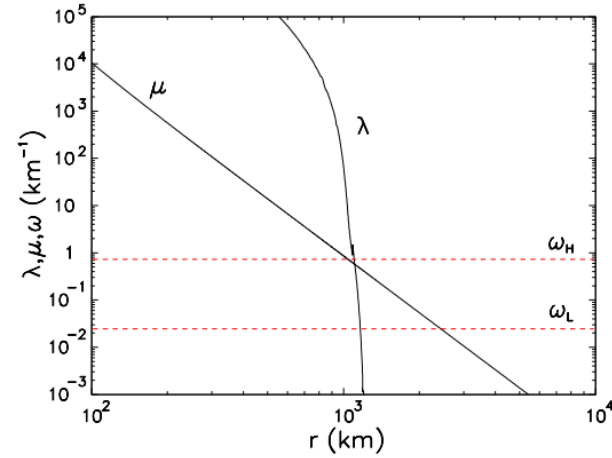
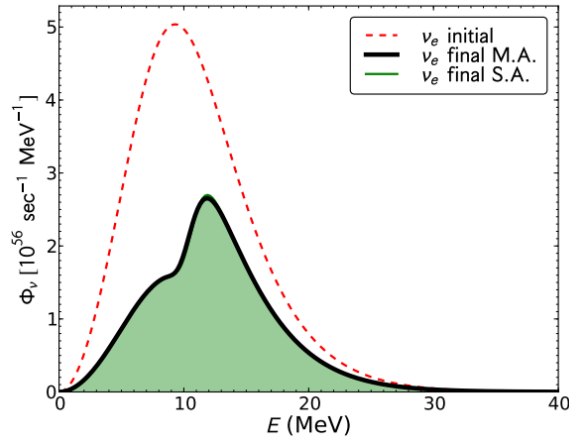


Not possible with slow conversions that occur above r_{gain}



May be possible if fast conversions that occur below r_{gain} !

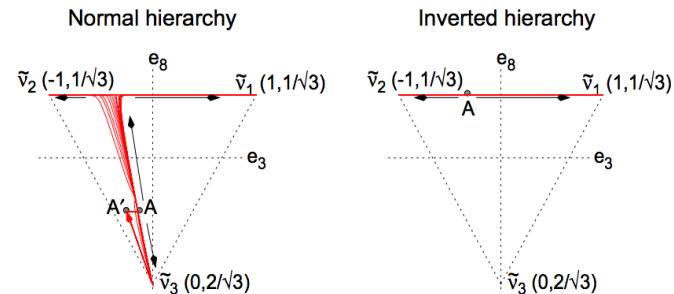
O-Mg-Ne Supernovae



Duan, Fuller, Carlson, Qian (2007)
 Duan, Fuller, Carlson, Qian (2008)
 Dasgupta, Dighe, Mirizzi, Raffelt (2008)
 Cherry, Fuller, Carlson, Duan, Qian (2010)

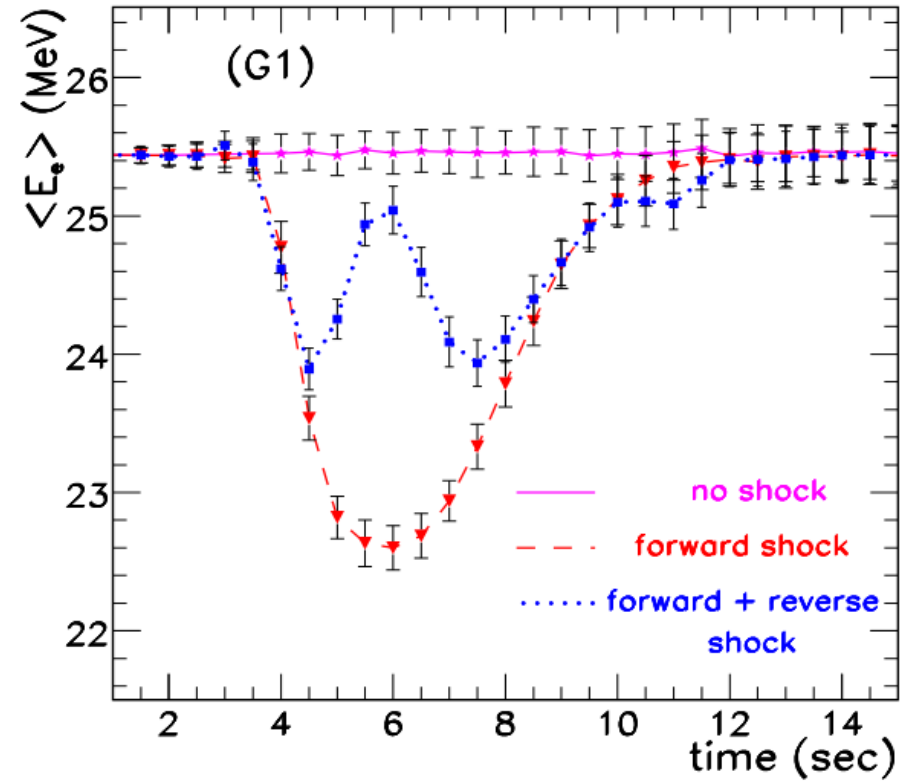
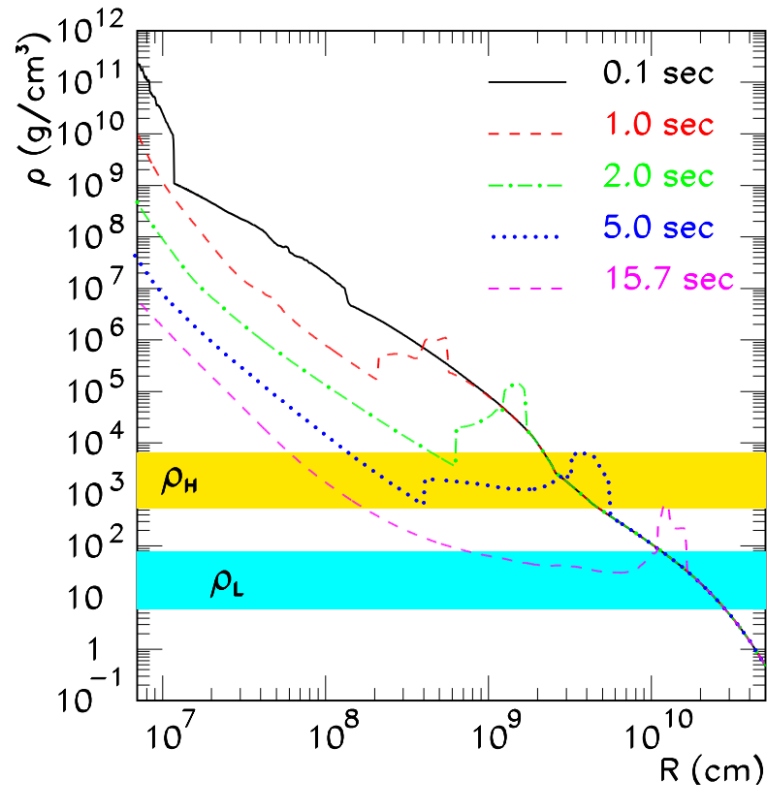
Star has sharply falling matter density, so MSW resonance occurs before (slow) collective effects.

Explained using synchronized MSW



Application of 3 flavor formalism by Dasgupta and Dighe (2007)

Shock Wave

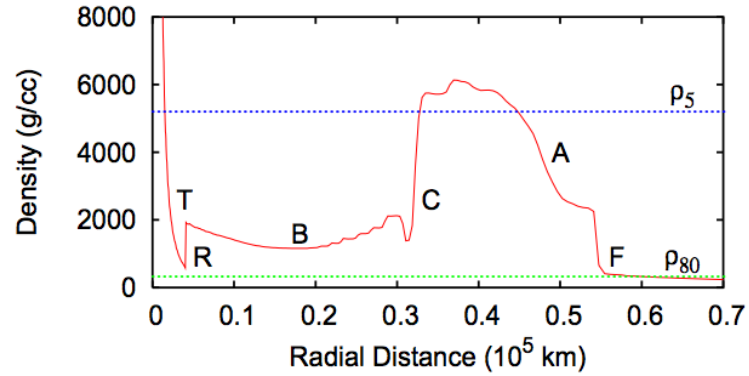


Schirato and Fuller (2002)

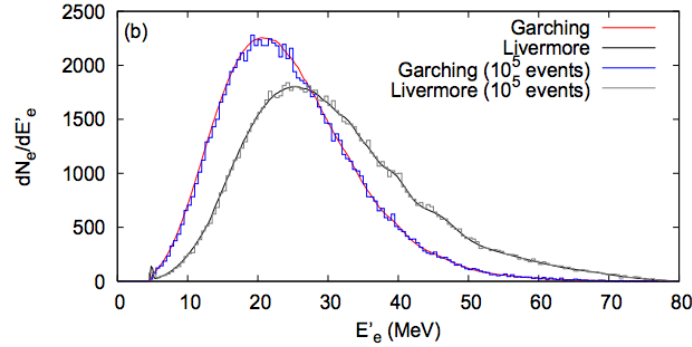
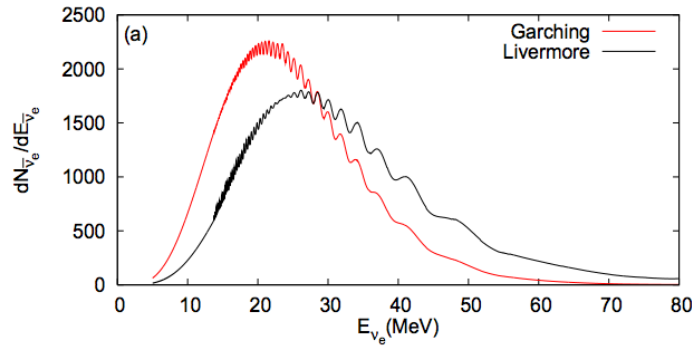
Tomas, Kachelriess, Raffelt, Dighe, Janka, Scheck (2004)

Shockwave propagation leads to dips/bumps in observed average E

Phase Effects



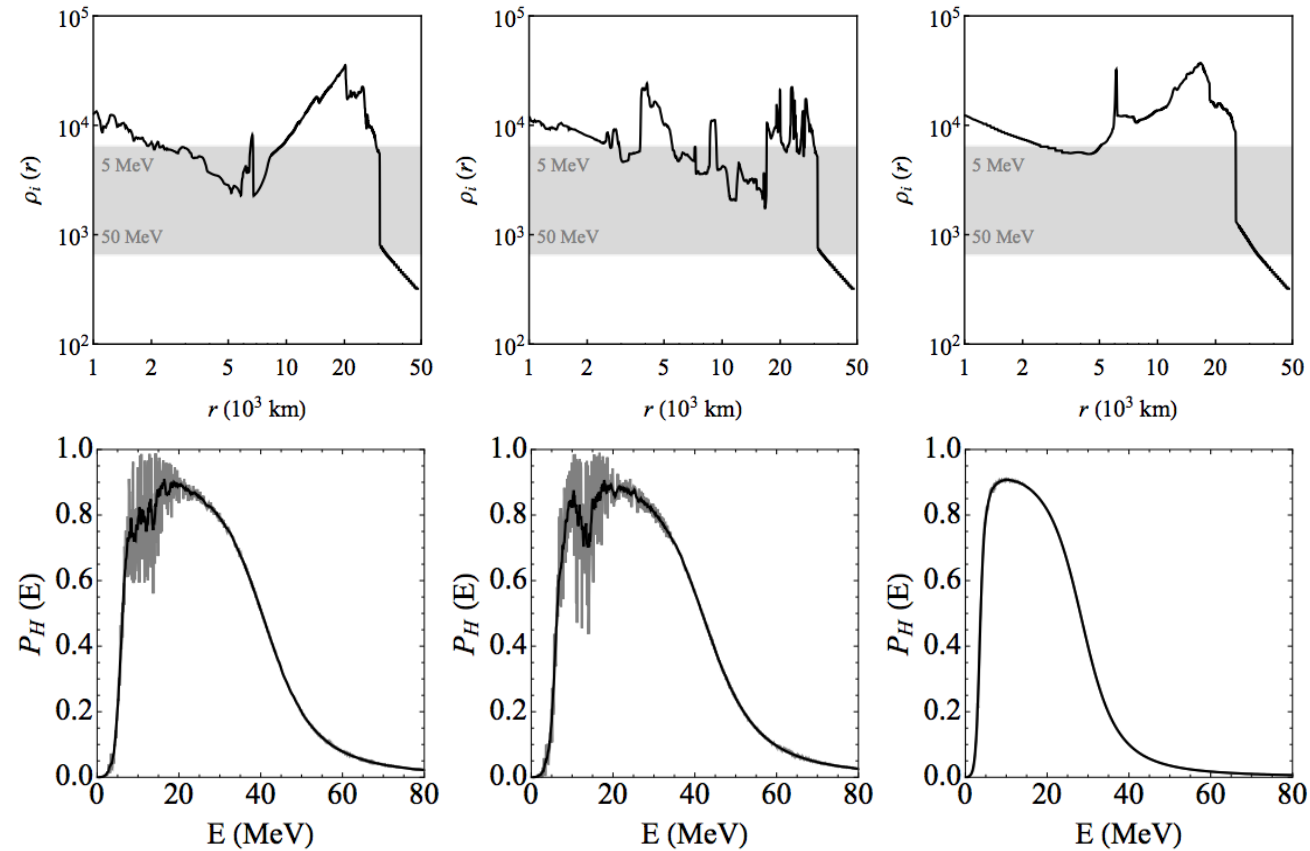
Density profile is not monotonic. There are multiple resonances. Some of them are close enough and lead to interference features with large wavelength in $1/E$



Typically gets averaged out due to finite energy resolution

Dasgupta and Dighe (2005)

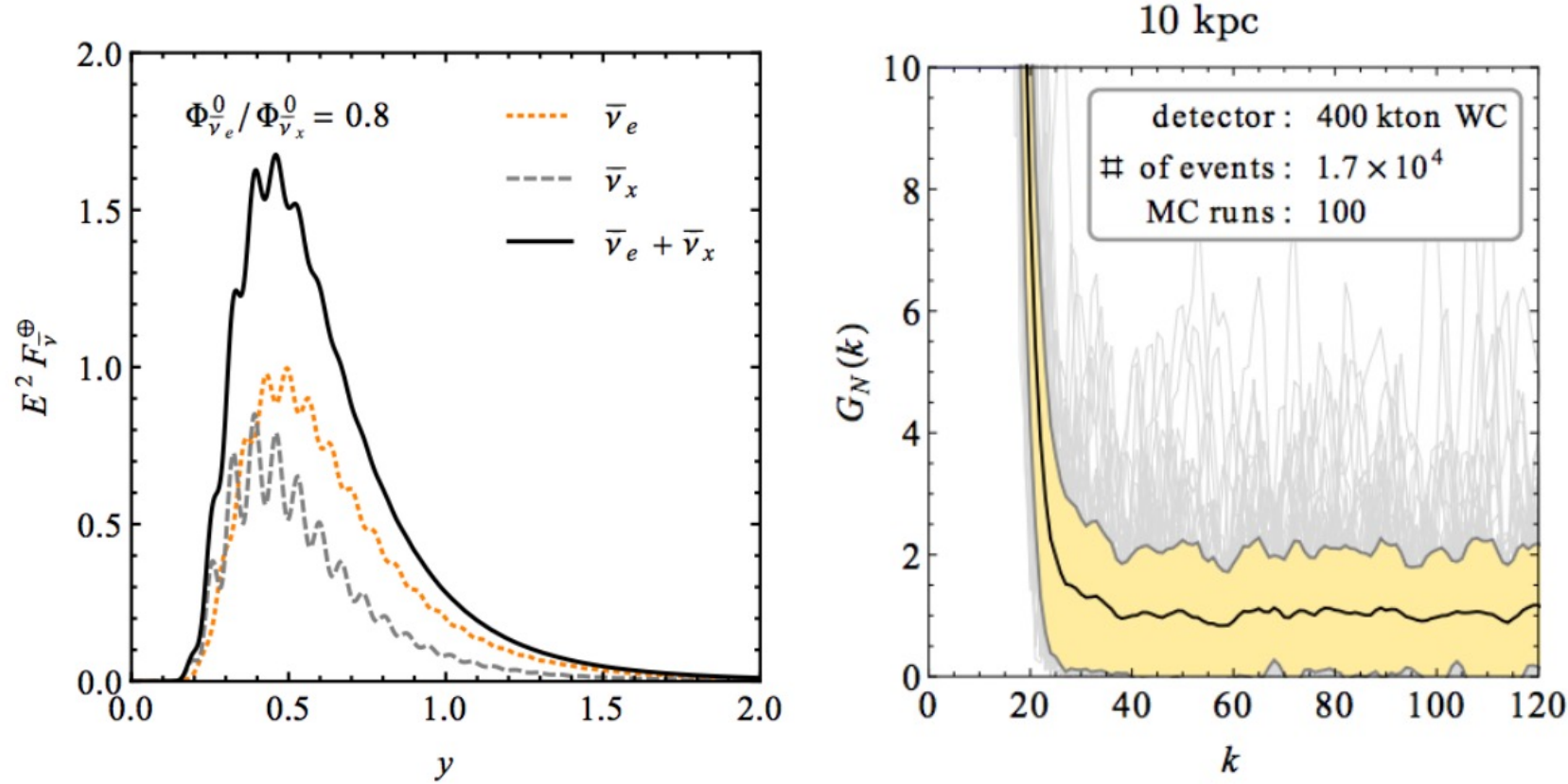
Turbulence



Plot from Borriello, Chakraborty, Janka, Lisi, Mirizzi (2013)
Fogli, Lisi, Mirizzi, Montanino (2006)
Friedland and Gruzinov (2006)

Survival probabilities are highly stochastic quantities
during certain time-windows

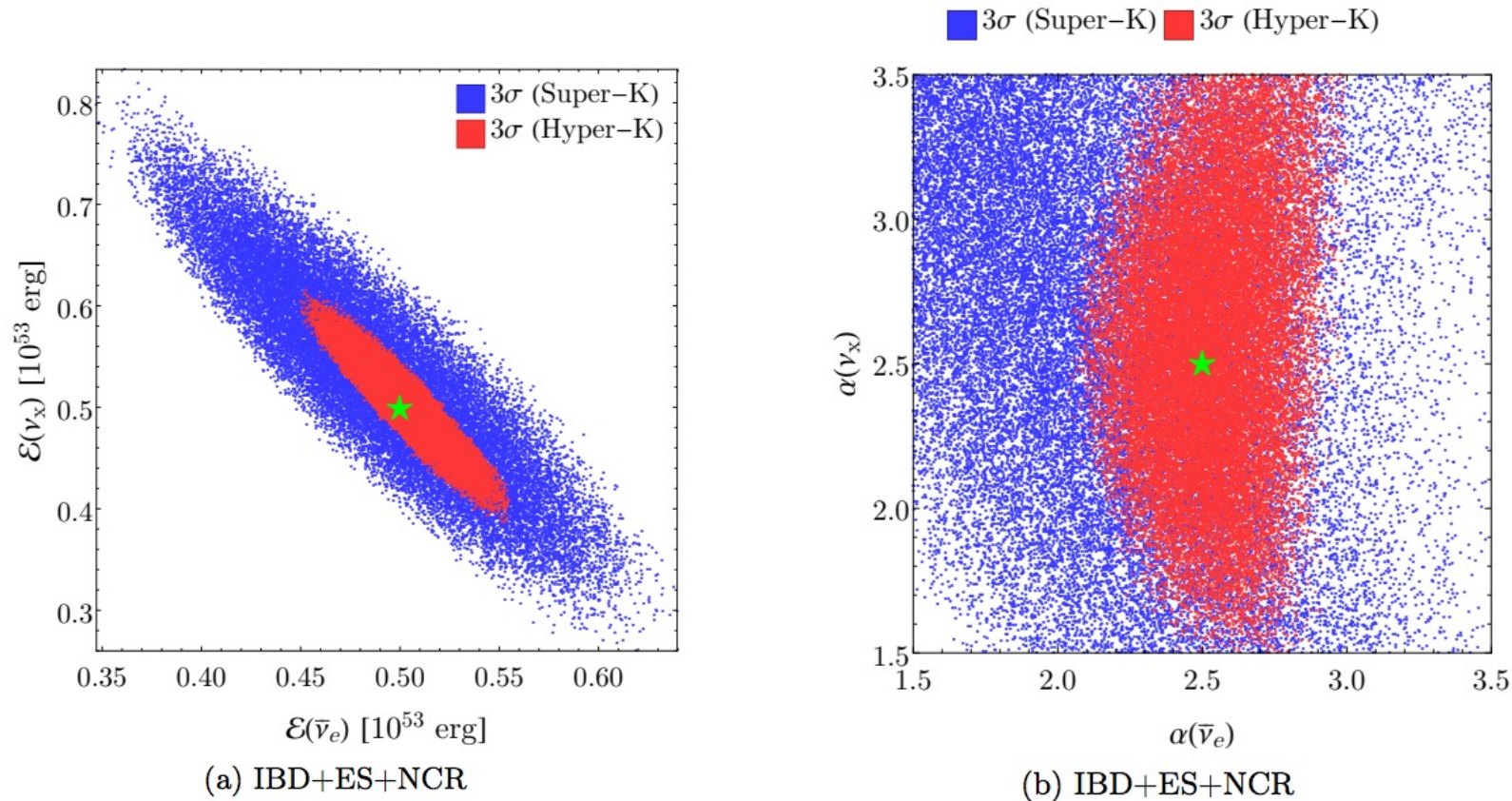
Earth Matter Effects



Energy-dependent regeneration in Earth depends on spectral differences between flavors and encodes neutrino mass ordering.
May be hard to see.

Boriello, Chakraborty, Mirizzi, Serpico, Tamborra (2012)
Lunardini, Smirnov (2001)

SN Flux Reconstruction



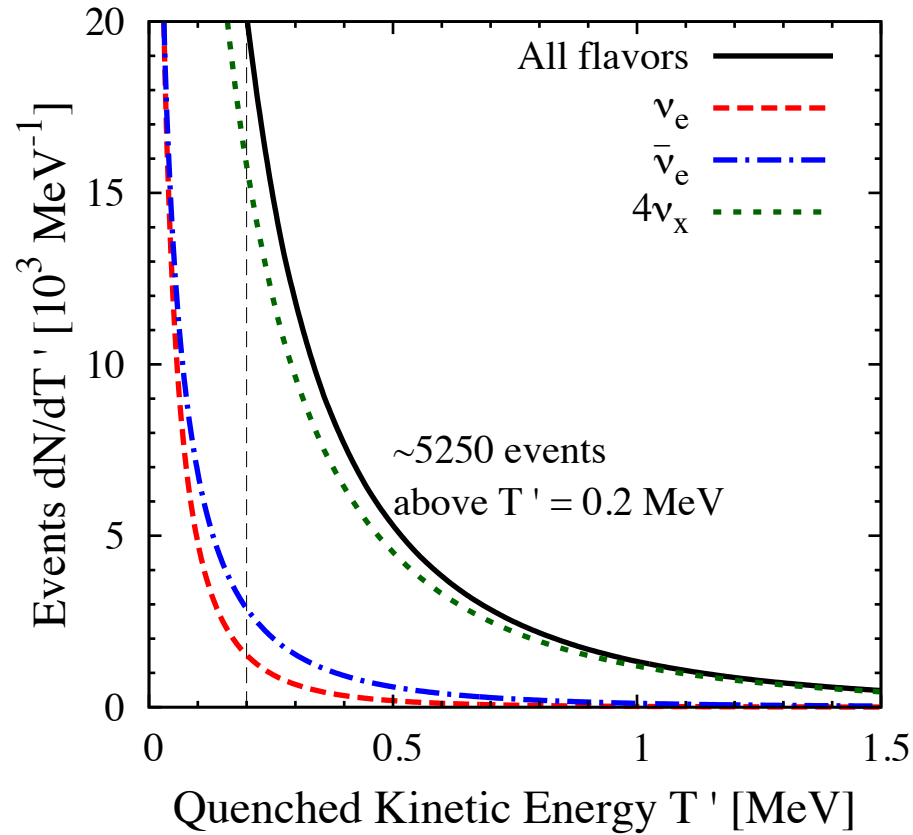
May be possible to reconstruct fluxes with unknown pinching

Rosso, Vissani, Volpe (2017)

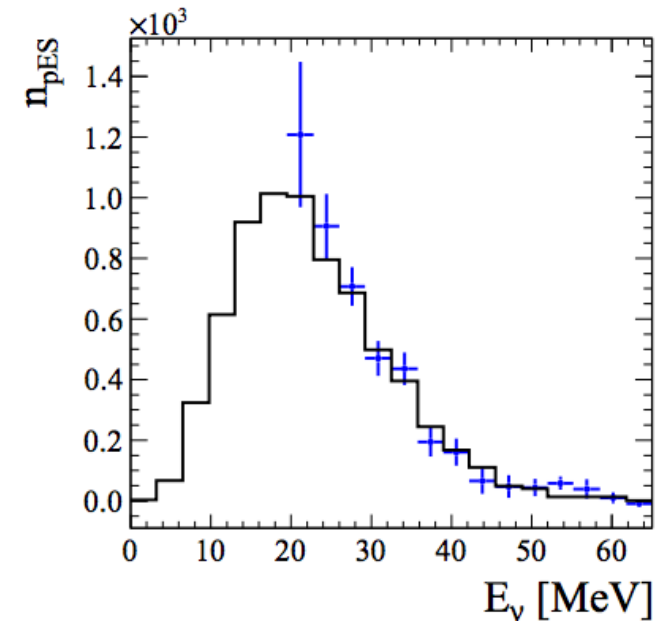
For nue: Laha, Beacom (2014), Laha, Beacom, Agarwalla (2014), Nikrant, Laha, Horiuchi (2017)

Previously: Minakata, Nunokawa, Tomas, Valle (2008)

Neutral Current is Important



Neutrino – Proton elastic scattering can give the unoscillated fluxes if measured with enough statistics and reconstructed with precision



Beacom, Farr, Vogel (2003)
Dasgupta and Beacom (2011)
See also Chauhan, Dasgupta, Datar (2021) for a new idea using Deuterium

Detailed analysis for JUNO by Li, Li, Wang, Wen, Zhou (2017)

If you want to know more ...

Mirizzi, Tamborra, Janka, Saviano, Scholberg, Bollig, Huedepohl, Chakraborty
Riv. Nuovo Cimento 39 (2016)

Detailed SN Neutrino Review

Horiuchi and Kneller
J.Phys. G45 (2018)

Interpretative

Duan, Fuller, Qian
Ann.Rev.Nucl.Part.Sci. 60 (2010)

Chakraborty, Hansen, Izaguirre, Raffelt
Nucl.Phys. B908 (2016)

Shalgar and Tamborra - Annual Rev. (2021)

Capozzi, Saviano - Universe 8 (2022)

Richers and Sen - (2022)

Focussed on collective effects

Dasgupta

PoS ICHEP2010 (2010), and PoS NOW 2022 (2023)

Short reviews for the impatient

Takeaway

www.bdasgupta.com
bdasgupta@theory.tifr.res.in

- SN theory
 - Different phases of SN explosion and different oscillation physics
- Oscillation theory
 - Adiabatic and Non-adiabatic (at Shock) MSW
 - Slow/Fast Collective Mixing due to “Crossings”
- Detection Prospects, Bounds
 - Neutrinos of all Flavors, Energies, Times, Directions ...
 - Multi-messenger helps
- Upshot
 - Neutronization burst : Physics is MSW-like
 - Accretion : If “Crossing” exists then Collective -> Mixing, else MSW-like
 - Cooling : Spectra are very similar; New and Improved BSM Bounds