

# *Interesting directions in Flavor Physics.*

*Alakabha Datta*

*University of Mississippi*

December 13, 2023

*ICHEPAP, SINP*

# Outline

- Focus on interesting results mostly from quark flavor physics.
- New effects in  $\bar{b} \rightarrow \bar{s}$  transitions-  $b \rightarrow sl^+l^-$ ,  $b \rightarrow s\nu\bar{\nu}$ ,  $b \rightarrow sq\bar{q}$ .
- Review of the  $b \rightarrow sl^+l^-$ ,  $b \rightarrow s\nu\bar{\nu}$ . New evidence of  $B^+ \rightarrow K^+ + \text{inv}$   
- about  $3\sigma$  away from SM prediction for  $B^+ \rightarrow K^+ + \bar{\nu}\nu$ .
- Review of the  $b \rightarrow sq\bar{q}$ . The  $B \rightarrow K\pi$  puzzle.
- New physics may be heavy or light- Effective Field Theory.
- Model with light NP that addresses  $b \rightarrow s\nu\bar{\nu}$ , the MiniBooNE anomaly, also muon  $g - 2$ .

# Outline

- Focus on interesting results mostly from quark flavor physics.
- New effects in  $\bar{b} \rightarrow \bar{s}$  transitions-  $b \rightarrow sl^+l^-$ ,  $b \rightarrow s\nu\bar{\nu}$ ,  $b \rightarrow sq\bar{q}$ .
- Review of the  $b \rightarrow sl^+l^-$ ,  $b \rightarrow s\nu\bar{\nu}$ . New evidence of  $B^+ \rightarrow K^+ + \text{inv}$   
- about  $3\sigma$  away from SM prediction for  $B^+ \rightarrow K^+ + \bar{\nu}\nu$ .
- Review of the  $b \rightarrow sq\bar{q}$ . The  $B \rightarrow K\pi$  puzzle.
- New physics may be heavy or light- Effective Field Theory.
- Model with light NP that addresses  $b \rightarrow s\nu\bar{\nu}$ , the MiniBooNE anomaly, also muon  $g - 2$ .

# Outline

- Focus on interesting results mostly from quark flavor physics.
- New effects in  $\bar{b} \rightarrow \bar{s}$  transitions-  $b \rightarrow sl^+l^-$ ,  $b \rightarrow s\nu\bar{\nu}$ ,  $b \rightarrow sq\bar{q}$ .
- Review of the  $b \rightarrow sl^+l^-$ ,  $b \rightarrow s\nu\bar{\nu}$ . New evidence of  $B^+ \rightarrow K^+ + \text{inv}$   
- about  $3\sigma$  away from SM prediction for  $B^+ \rightarrow K^+ + \bar{\nu}\nu$ .
- Review of the  $b \rightarrow sq\bar{q}$ . The  $B \rightarrow K\pi$  puzzle.
- New physics may be heavy or light- Effective Field Theory.
- Model with light NP that addresses  $b \rightarrow s\nu\bar{\nu}$ , the MiniBooNE anomaly, also muon  $g - 2$ .

# Outline

- Focus on interesting results mostly from quark flavor physics.
- New effects in  $\bar{b} \rightarrow \bar{s}$  transitions-  $b \rightarrow sl^+l^-$ ,  $b \rightarrow s\nu\bar{\nu}$ ,  $b \rightarrow sq\bar{q}$ .
- Review of the  $b \rightarrow sl^+l^-$ ,  $b \rightarrow s\nu\bar{\nu}$ . New evidence of  $B^+ \rightarrow K^+ + \text{inv}$   
- about  $3\sigma$  away from SM prediction for  $B^+ \rightarrow K^+ + \bar{\nu}\nu$ .
- Review of the  $b \rightarrow sq\bar{q}$ . The  $B \rightarrow K\pi$  puzzle.
- New physics may be heavy or light- Effective Field Theory.
- Model with light NP that addresses  $b \rightarrow s\nu\bar{\nu}$ , the MiniBooNE anomaly, also muon  $g - 2$ .

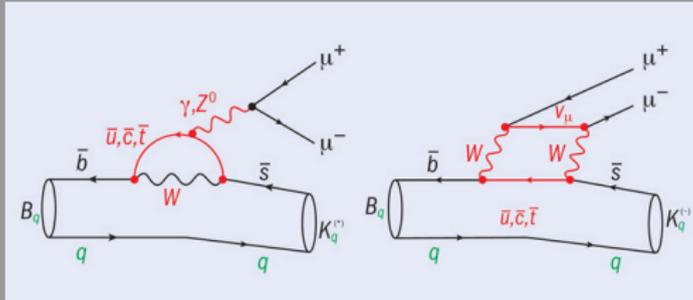
# Outline

- Focus on interesting results mostly from quark flavor physics.
- New effects in  $\bar{b} \rightarrow \bar{s}$  transitions-  $b \rightarrow sl^+l^-$ ,  $b \rightarrow s\nu\bar{\nu}$ ,  $b \rightarrow sq\bar{q}$ .
- Review of the  $b \rightarrow sl^+l^-$ ,  $b \rightarrow s\nu\bar{\nu}$ . New evidence of  $B^+ \rightarrow K^+ + \text{inv}$   
- about  $3\sigma$  away from SM prediction for  $B^+ \rightarrow K^+ + \bar{\nu}\nu$ .
- Review of the  $b \rightarrow sq\bar{q}$ . The  $B \rightarrow K\pi$  puzzle.
- New physics may be heavy or light- Effective Field Theory.
- Model with light NP that addresses  $b \rightarrow s\nu\bar{\nu}$ , the MiniBooNE anomaly, also muon  $g - 2$ .

# Outline

- Focus on interesting results mostly from quark flavor physics.
- New effects in  $\bar{b} \rightarrow \bar{s}$  transitions-  $b \rightarrow sl^+l^-$ ,  $b \rightarrow s\nu\bar{\nu}$ ,  $b \rightarrow sq\bar{q}$ .
- Review of the  $b \rightarrow sl^+l^-$ ,  $b \rightarrow s\nu\bar{\nu}$ . New evidence of  $B^+ \rightarrow K^+ + \text{inv}$   
- about  $3\sigma$  away from SM prediction for  $B^+ \rightarrow K^+ + \bar{\nu}\nu$ .
- Review of the  $b \rightarrow sq\bar{q}$ . The  $B \rightarrow K\pi$  puzzle.
- New physics may be heavy or light- Effective Field Theory.
- Model with light NP that addresses  $b \rightarrow s\nu\bar{\nu}$ , the MiniBooNE anomaly, also muon  $g - 2$ .

$b \rightarrow s\mu^+\mu^-$  and  $b \rightarrow s\nu\bar{\nu}$  - SM



$$H_{\text{eff}}(b \rightarrow s\ell\bar{\ell}) = -\frac{\alpha G_F}{\sqrt{2}\pi} V_{tb} V_{ts}^* [C_9 (\bar{s}_L \gamma^\mu b_L) (\bar{\ell} \gamma_\mu \ell) + C_{10} (\bar{s}_L \gamma^\mu b_L) (\bar{\ell} \gamma_\mu \gamma^5 \ell)] ,$$

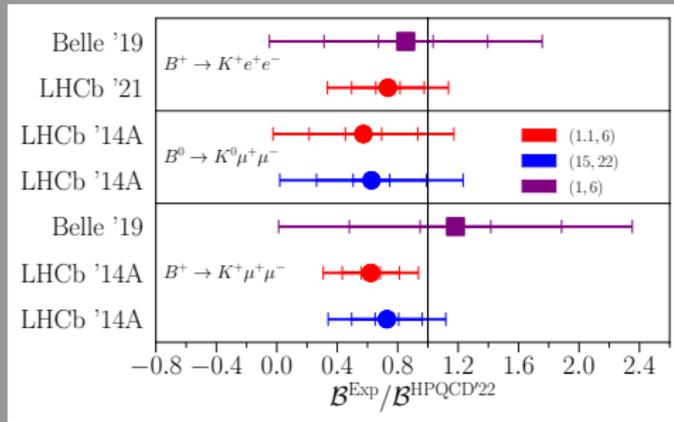
$$H_{\text{eff}}(b \rightarrow s\nu\bar{\nu}) = -\frac{\alpha G_F}{\sqrt{2}\pi} V_{tb} V_{ts}^* C_L (\bar{s}_L \gamma^\mu b_L) (\bar{\nu} \gamma_\mu (1 - \gamma^5) \nu) ,$$

$$H_{\text{eff}}(b \rightarrow s\gamma^*) = C_7 \frac{e}{16\pi^2} [\bar{s} \sigma_{\mu\nu} (m_s P_L + m_b P_R) b] F^{\mu\nu}$$

# $B \rightarrow K^{(*)} \ell \ell$ Anomaly ?

$$M_{\text{SM}}(B \rightarrow K^{(*)} \ell \bar{\ell}) = -\frac{\alpha G_F}{\sqrt{2}\pi} V_{tb} V_{ts}^* \left[ C_9 \langle K^{(*)} | \bar{s}_L \gamma^\mu b_L | B \rangle \bar{\ell} \gamma_\mu \ell \right. \\ \left. + C_{10} \langle K^{(*)} | \bar{s}_L \gamma^\mu b_L | B \rangle \bar{\ell} \gamma_\mu \gamma^5 \ell \right],$$

Need Form Factors. From lattice: arXiv: 2207.13371, HPQCD

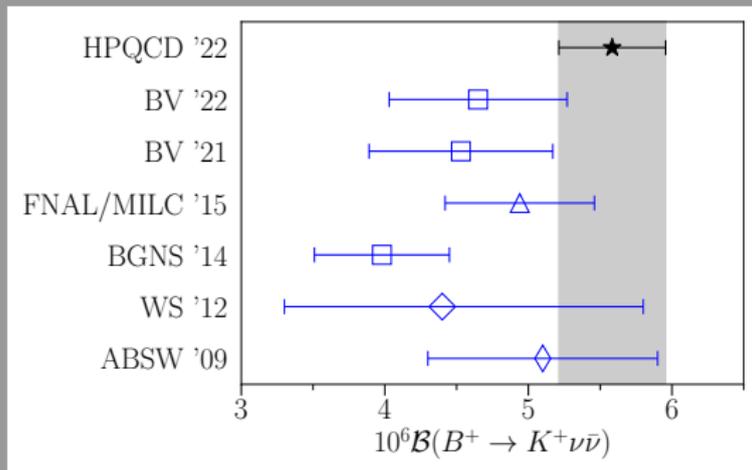


A universal  $\Delta C_9$  can explain the data.

# $B \rightarrow K^{(*)} \nu \bar{\nu}$ Anomaly

$$M_{\text{SM}}(B \rightarrow K^{(*)} \nu \bar{\nu}) = -\frac{\alpha G_F}{\sqrt{2}\pi} V_{tb} V_{ts}^* C_L \langle K^{(*)} | \bar{s}_L \gamma^\mu b_L | B \rangle \bar{\nu} \gamma_\mu (1 - \gamma^5) \nu ,$$

Same Form Factors. From lattice: arXiv: 2207.13371, HPQCD



Belle II (EPS-HEP2023, arXiv: 2311.14647) :

$\text{BR}(B^+ \rightarrow K^+ + \text{inv}) = (2.3 \pm 0.5^{+0.5}_{-0.4}) \times 10^{-5} \sim 2.7\sigma$  from HPQCD22  
 SM prediction  $(5.58 \pm 0.38) \times 10^{-6}$ .

# Hadronic Uncertainties: Charm Loop effects:

arXiv: 1512.07157

$b \rightarrow sl^+l^-$  can also receive corrections from non-leptonic operators: tree level charged current operators

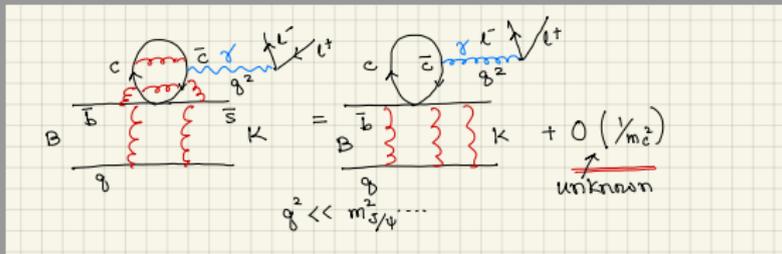
$$M = \langle K^* l^+ l^- | \bar{s} b \bar{q} q | B \rangle$$

$\bar{c}c \rightarrow \gamma^* \rightarrow l^+l^-$ . Can generate a  $\Delta C_9$ .

There can also be resonant contributions

$\bar{c}c \rightarrow J/\psi \rightarrow l^+l^-$ .

Note the charm contamination cannot come to the rescue for  $B^+ \rightarrow K^+ \bar{\nu} \nu$ : suppressed by  $\leq \mathcal{O}(m_b^2/M_Z^2)$ .



# $B \rightarrow \pi K$ Puzzle

Decay	BR( $\times 10^{-6}$ )	$A_{CP}$	$S_{CP}$
$B^+ \rightarrow \pi^+ K^0$	$23.79 \pm 0.75$	$-0.017 \pm 0.016$	
$B^+ \rightarrow \pi^0 K^+$	$12.94 \pm 0.52$	$0.025 \pm 0.016$	
$B_d^0 \rightarrow \pi^- K^+$	$19.57 \pm 0.53$	$-0.084 \pm 0.004$	
$B_d^0 \rightarrow \pi^0 K^0$	$9.93 \pm 0.49$	$-0.01 \pm 0.10$	$0.57 \pm 0.17$

**Table:** Branching ratios, direct CP asymmetries  $A_{CP}$ , and mixing-induced CP asymmetry  $S_{CP}$  (if applicable) for the four  $B \rightarrow \pi K$  decay modes.

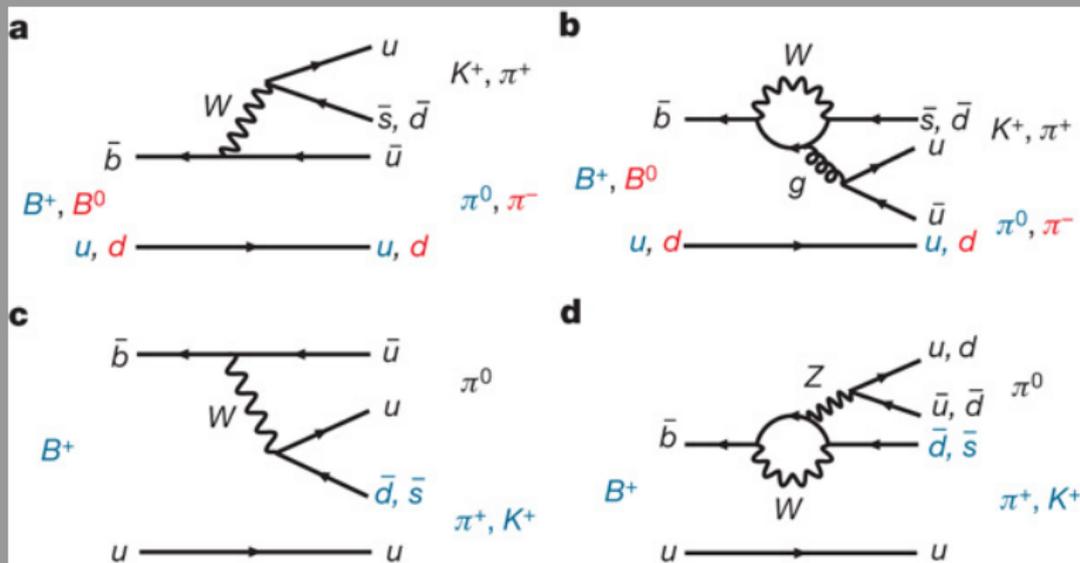
$$\begin{aligned}
 A(B \rightarrow f) &= A_1 e^{i\phi_1} e^{i\delta_1} + A_2 e^{i\phi_2} e^{i\delta_2}, \\
 A(\bar{B} \rightarrow \bar{f}) &= A_1 e^{-i\phi_1} e^{i\delta_1} + A_2 e^{-i\phi_2} e^{i\delta_2}.
 \end{aligned}$$

Hence **direct** CP asymmetry:

$$a_{dir}^{CP} \equiv \frac{\Gamma(\bar{B} \rightarrow \bar{f}) - \Gamma(B \rightarrow f)}{\Gamma(B \rightarrow f) + \Gamma(\bar{B} \rightarrow \bar{f})} = \frac{2A_1 A_2 \sin \Phi \sin \Delta}{A_1^2 + A_2^2 + 2A_1 A_2 \cos \Phi \cos \Delta},$$

where  $\Phi \equiv \phi_1 - \phi_2$  and  $\Delta \equiv \delta_1 - \delta_2$ .

# $B \rightarrow \pi K$ simplified puzzle



$$P' > T' \sim P'_{EW} > C', P_{EW}^C$$

# Topological Amplitudes, $b \rightarrow s$

We can describe all decays dominated by the  $b \rightarrow s$  penguins by the following amplitudes:  $T', C', P', P_{EW}, P_{EW}^C$ .

$$\frac{|T'|}{|P'|} = \frac{|V_{ub}V_{us}|}{|V_{tb}V_{ts}|} \frac{C_1}{\frac{\alpha_s}{\pi}} \sim 0.15$$

$$\frac{|C'|}{|P'|} = \frac{|C_2|}{|C_1|} \times \frac{|T'|}{|P'|} \sim 0.03$$

$$\frac{|P'_{EW}|}{|P'|} = \frac{1.254\alpha}{\frac{\alpha_s}{\pi}} \sim 0.14$$

$$\frac{|P'_{EW}^C|}{|P'|} = \frac{|C_7|}{|C_9|} \times \frac{|P'_{EW}|}{|P'|} \sim 0.014$$

The NP required to resolve the  $B \rightarrow \pi K$  puzzle is  $|A_{NP}| \sim |P'_{EW}|$ .

# Topological Amplitudes, $b \rightarrow d$

We can describe all decays dominated by the  $b \rightarrow s$  penguins by the following amplitudes:  $T, C, P, P_{EW}, P_{EW}^C$ .

$$\begin{aligned}\frac{|P|}{|T|} &= \frac{|V_{tb}V_{td}|}{|V_{ub}V_{ud}|} \frac{\frac{\alpha_s}{\pi}}{C_1} \sim 0.10 \\ \frac{|C|}{|T|} &= \frac{|C_2|}{|C_1|} \sim 0.2 \\ \frac{|P_{EW}|}{|P|} &= \frac{1.254\alpha}{\frac{\alpha_s}{\pi}} \sim 0.14 \\ \frac{|P_{EW}^C|}{|P|} &= \frac{|C_7|}{|C_9|} \times \frac{|P_{EW}|}{|P|} \sim 0.014\end{aligned}$$

The NP in  $b \rightarrow d$  transition like  $B \rightarrow \pi\pi$  is small.

## $B \rightarrow \pi K$ simplified puzzle

For the  $A^{ij} \equiv B \rightarrow \pi^i K^j$  amplitudes:

$$\begin{aligned}A^{+0} &= -P'_{tc} , \\ \sqrt{2}A^{0+} &= -T' e^{i\gamma} + P'_{tc} - P'_{EW} , \\ A^{-+} &= -T' e^{i\gamma} + P'_{tc} , \\ \sqrt{2}A^{00} &= -P'_{tc} - P'_{EW} .\end{aligned}$$

$$P'_{EW} = \frac{3}{2} \frac{c_9}{c_1} R T' ,$$

where  $R \equiv |(V_{tb}^* V_{ts}) / (V_{ub}^* V_{us})| = 49.1 \pm 1.0$

- $P'_{tc}$  and  $P'_{EW}$  have the same weak phase..
- $T'$  and  $P'_{EW}$  have the same strong phase.
- So CPV is only from the  $T' - P'_{tc}$  interference.

# Tree and Electroweak

$$A^{+0} = -P'_{tc} + P'_{uc} e^{i\gamma} - \frac{1}{3} P'_{EW}^C,$$

$$\begin{aligned} \sqrt{2} A^{0+} &= -T' e^{i\gamma} - C' e^{i\gamma} + P'_{tc} - P'_{uc} e^{i\gamma} \\ &\quad - P'_{EW} - \frac{2}{3} P'_{EW}^C, \end{aligned}$$

$$A^{-+} = -T' e^{i\gamma} + P'_{tc} - P'_{uc} e^{i\gamma} - \frac{2}{3} P'_{EW}^C,$$

$$\begin{aligned} \sqrt{2} A^{00} &= -C' e^{i\gamma} - P'_{tc} + P'_{uc} e^{i\gamma} \\ &\quad - P'_{EW} - \frac{1}{3} P'_{EW}^C. \end{aligned}$$

$$P'_{EW} = \frac{3}{4} \frac{c_9 + c_{10}}{c_1 + c_2} R(T' + C') + \frac{3}{4} \frac{c_9 - c_{10}}{c_1 - c_2} R(T' - C'),$$

$$P'_{EW}^C = \frac{3}{4} \frac{c_9 + c_{10}}{c_1 + c_2} R(T' + C') - \frac{3}{4} \frac{c_9 - c_{10}}{c_1 - c_2} R(T' - C'),$$

# SM fits and "solutions"

$\chi^2/\text{dof}$	3.18/2
Parameter	Fitted Value
$ P'_{tc} $	$50.7 \pm 1.7$
$ T' $	$5.5 \pm 1.5$
$ C' $	$3.8 \pm 1.3$
$ P'_{uc} $	$1.0 \pm 9.1$
$\delta_{P'_{tc}}$	$-16.0 \pm 7.3$
$\delta_{C'}$	$205 \pm 20$
$\delta_{P'_{uc}}$	$8.0 \pm 350$

Table: All SM parameters, all data

- $|C'/T'| = 0.68$  is pretty large and generally inconsistent with observations of color suppressed decays.
- The default expectation  $|C'/T'| \sim 0.2$  ( see e.g. QCD factorization) which will worsen the fit.
- $ACP(00) = -0.11$ . Hence precise measurement  $\pi^0 K^0$  is crucial.

# Default SM fits

$\chi^2/\text{dof}$	40.2/6
Parameter	Fitted Value
$ P'_{tc} $	$50.19 \pm 0.44$
$ T' $	$7.3 \pm 1.3$
$\delta_{P'_{tc}}$	$-15.4 \pm 3.1$

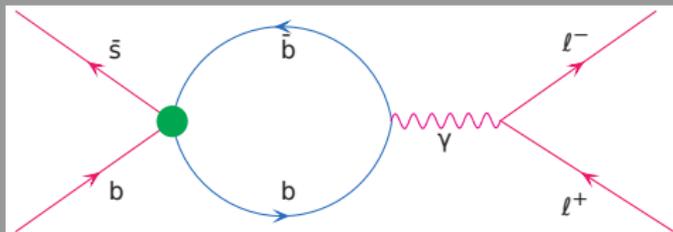
Table: Fit 1A:  $P'_{uc} = 0, C' = 0$ , all data

$\chi^2/\text{dof}$	26.7/5
Parameter	Fitted Value
$ P'_{tc} $	$46.23 \pm 0.50$
$ T' $	$5.33 \pm 0.72$
$\delta_{P'_{tc}}$	$-23.5 \pm 3.9$
$\delta_{C'}$	$220 \pm 16$

Table:  $P'_{uc} = 0, |C'/T'| = 0.2$ , all data

# Effective Operators: SMEFT

$Q_{qq}^{(1)}$	$(\bar{q}_i \gamma_\mu q_j)(\bar{q}_k \gamma^\mu q_\ell)$	$(\bar{L}L)(\bar{L}L)$	$qq1\_2333$
$Q_{qq}^{(3)}$	$(\bar{q}_i \gamma_\mu \tau^I q_j)(\bar{q}_k \gamma^\mu \tau^I q_\ell)$		$qq3\_2333$
$Q_{qd}^{(1)}$	$(\bar{q}_i \gamma_\mu q_j)(\bar{d}_k \gamma^\mu d_\ell)$	$(\bar{L}L)(\bar{R}R)$	$qd1\_2333$
$Q_{qd}^{(8)}$	$(\bar{q}_i \gamma_\mu T^A q_j)(\bar{d}_k \gamma^\mu T^A d_\ell)$		$qd8\_2333$
$Q_{dd}$	$(\bar{d}_i \gamma_\mu d_j)(\bar{d}_k \gamma^\mu d_\ell)$	$(\bar{R}R)(\bar{R}R)$	$dd\_2333$



RGE effects can generate at the  $m_b$  scale  $b \rightarrow s \ell^+ \ell^-$  and  $b \rightarrow s q \bar{q}$  operators.

# Four quark operators: Datta, Ghosh, Duraisamy : arXiv:1310.1937

At the  $m_b$  scale

$$C_{V_{LL}}^q \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* (\bar{s}_L \gamma_\mu b_L) (\bar{q}_L \gamma^\mu q_L), \quad C_{V_{LR}}^q \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* (\bar{s}_L \gamma_\mu b_L) (\bar{q}_R \gamma^\mu q_R)$$

$$C_{V_{RL}}^q \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* (\bar{s}_R \gamma_\mu b_R) (\bar{q}_L \gamma^\mu q_L), \quad C_{V_{RR}}^q \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* (\bar{s}_R \gamma_\mu b_R) (\bar{q}_R \gamma^\mu q_R)$$

$$C_{V_{LL}}^{q,C} \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* (\bar{s}_L^\alpha \gamma_\mu b_L^\beta) (\bar{q}_L^\beta \gamma^\mu q_L^\alpha), \quad C_{V_{LR}}^{q,C} \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* (\bar{s}_L^\alpha \gamma_\mu b_L^\beta) (\bar{q}_R^\beta \gamma^\mu q_R^\alpha)$$

$$C_{V_{RL}}^{q,C} \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* (\bar{s}_R^\alpha \gamma_\mu b_R^\beta) (\bar{q}_L^\beta \gamma^\mu q_L^\alpha), \quad C_{V_{RR}}^{q,C} \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* (\bar{s}_R^\alpha \gamma_\mu b_R^\beta) (\bar{q}_R^\beta \gamma^\mu q_R^\alpha)$$

$$C_9^{bs\ell\ell} \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{e^2}{16\pi^2} (\bar{s}_L \gamma_\mu b_L) (\bar{\ell} \gamma^\mu \ell)$$

Four quarks SMEFT operators can potentially explain both the  $b \rightarrow s\ell^+\ell^-$  and  $b \rightarrow sq\bar{q}$  anomalies. Strong constraints come from  $B_s$  mixing.

$$b \rightarrow s\nu\bar{\nu}$$

- $b \rightarrow s\nu\bar{\nu}$  can potentially come from SMEFT operators discussed previously.
- Interesting possibilities for contribution to the  $\text{BR}(B^+ \rightarrow K^+ + \text{inv})$ : Dark matter,  $B^+ \rightarrow K^+\phi\phi$  or  $B^+ \rightarrow K^+\bar{\chi}\chi$  (arXiv:2309.12741) or sterile neutrinos (arXiv: 2309.02940)- uses effective theory and so less predictive.
- Another possibility is  $B^+ \rightarrow K^+ + \text{inv}$  is due to  $B^+ \rightarrow K^+X$  where  $X$  is a vector or scalar that is either invisible or decays to invisible states. archive::2309.17191, 2310.15136, 2311.14629, 2312.00982

# Light new physics: What can $X$ be? arXiv: 2310.15136

- $X$  has to be light enough to be produced on shell in  $B$  decays.
- $X$  should be below the  $\mu$  threshold so that it does not contribute to  $b \rightarrow s\mu^+\mu^-$ .
- Take  $m_X$  between  $10 \lesssim m_X/\text{MeV} \lesssim 150$  to be above the dielectron threshold.
- $X$  is either invisible in the length scale of the experiment or couples to invisible states.
- $X$  is scalar is preferred over vector avoid contributions from longitudinal polarizations  $\sim \frac{E}{m_X}$ . Causes problem to with  $B$  and  $K$  mixing, neutrino scattering at higher energies etc.

# Phenomenology

- For  $B^+ \rightarrow K^+ S$  to contribute to the Belle II signal  $B^+ \rightarrow K^+_{\text{inv}}$ ,  $S$  has to be long lived and this is subject to beam dump constraint.
- Prefer to have  $S$  decay to invisible states ( $\nu$ ) and to visible states so that it is short lived.
- Introduce a massive sterile Dirac neutrino,  $\nu_D$ , that couples to  $S$ .  $\nu_D$  mixes with the active neutrino ( $\nu_\mu$ ) with a mixing angle,  $U$ . This generates a coupling of  $S$  to light muon neutrino.
- The  $\nu_D$  is short lived to avoid strong constraints on the mixing  $U$ .

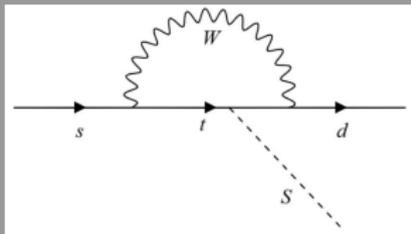
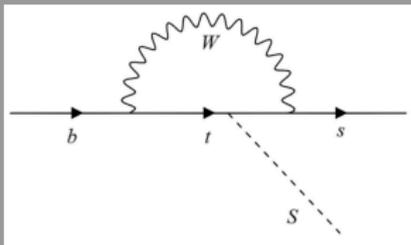
# General Model- Effective Interaction

$S$  mixes with a general extended unspecified Higgs sector and couples to a sterile neutrino state.

$$\begin{aligned} \mathcal{L}_S \supset & \frac{1}{2}(\partial_\mu S)^2 - \frac{1}{2}m_S^2 S^2 - \eta_d \sum_{f=d,\ell} \frac{m_f}{v} \bar{f} f S \\ & - \sum_{f=u,c,t} \eta_f \frac{m_f}{v} \bar{f} f S - g_D S \bar{\nu}_D \nu_D - \frac{1}{4} \kappa S F_{\mu\nu} F^{\mu\nu}, \end{aligned} \quad (1)$$

- The mixing of  $S$  in the down sector is universal but not in the up sector.
- The production of  $S$  ( $B \rightarrow KS, K \rightarrow \pi S$ ) is decoupled from its decay ( $S \rightarrow e^+ e^-, \gamma\gamma, \bar{\nu}\nu$ ).
- The production of  $\nu_D$  (from mixing with light neutrino) is decoupled from its decay ( $\nu_D \rightarrow \nu_\mu S \rightarrow \nu_\mu e^+ e^-, \nu_\mu \gamma\gamma, \nu_\mu \bar{\nu}_\mu \nu_\mu$ ).

$B \rightarrow KS$  and  $K \rightarrow \pi S$



$$\mathcal{L}_{FCNC} = g_{bs} \bar{s} P_R b S + g_{sd} \bar{d} P_R s S,$$

$$g_{bs} \approx \frac{3\sqrt{2}G_F}{16\pi^2} \frac{m_t^2 m_b}{v} \eta_t V_{tb} V_{ts}^*$$

and

$$g_{sd} \approx \frac{3\sqrt{2}G_F}{16\pi^2} \frac{m_t^2 m_s}{v} V_{ts} V_{td}^* \left( \eta_t + \eta_c \frac{m_c^2}{m_t^2} \frac{V_{cs} V_{cd}^*}{V_{ts} V_{td}^*} \right)$$

- $\frac{V_{cs} V_{cd}^*}{V_{ts} V_{td}^*} \sim \lambda^{-4}$ ,  $\lambda$  is the Cabibbo angle..
- $\eta_t$  and  $\eta_c$  have to be adjusted to accommodate  $B \rightarrow K + \text{inv}$  and  $K \rightarrow \pi + \text{inv}$ .

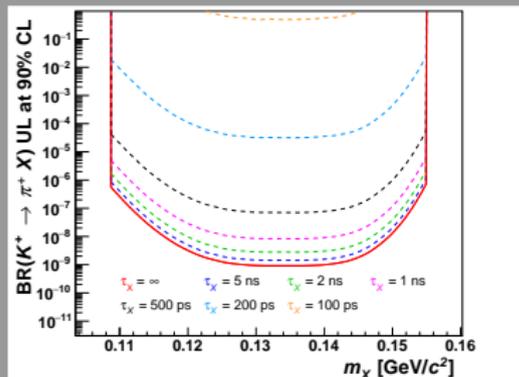
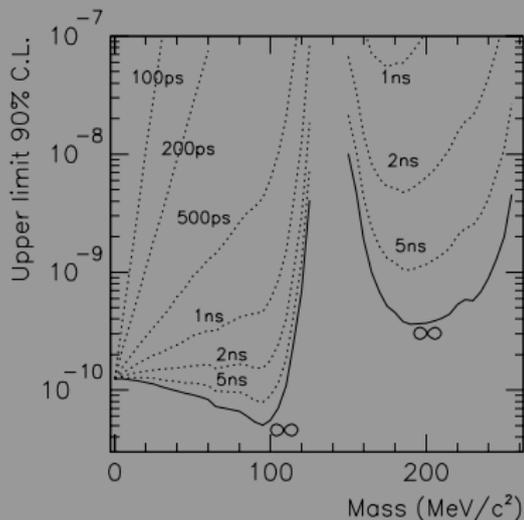
# $K_L \rightarrow \pi^0 + \text{inv}$ Bounds

$$g_{sd} \approx \frac{3\sqrt{2}G_F}{16\pi^2} \frac{m_t^2 m_s}{v} V_{ts} V_{td}^* \left( \eta_t + \eta_c \frac{m_c^2}{m_t^2} \frac{V_{cs} V_{cd}^*}{V_{ts} V_{td}^*} \right)$$

- If  $\eta_t \sim \eta_c$  then predicted  $K_L \rightarrow \pi^0 + \text{inv}$  violates the KOTO bound (KOTO - arXiv: 2012.07571 ).
- $K_L \rightarrow \pi^0 S$  is CP conserving and so rate  $\sim \text{Re}[V_{ts} V_{td}^* \left( \eta_t + \eta_c \frac{m_c^2}{m_t^2} \frac{V_{cs} V_{cd}^*}{V_{ts} V_{td}^*} \right)]$  and cancellation is possible to satisfy KOTO bound.
- We find  $\eta_t \sim 0.005$  and  $|\eta_c| \sim 0.1$  for  $\mathcal{B}[S \rightarrow \bar{\nu}\nu] \sim 1$ .

# $K \rightarrow \pi + \text{inv}$ Bounds

$K^+ \rightarrow \pi^+ + \text{inv}$  interpreted as  $K^+ \rightarrow \pi^+ X$



Various experiments like E979 (arXiv:0903.0030), NA62( arXiv: 2010.07644) put bounds on the  $\text{BR}[K^+ \rightarrow \pi^+ X]$  for different lifetimes. We avoid these bounds as we have shorter lifetime.

# The sterile neutrino

The sterile neutrino  $\nu_D$  and the light neutrino are taken to be Dirac fermion.

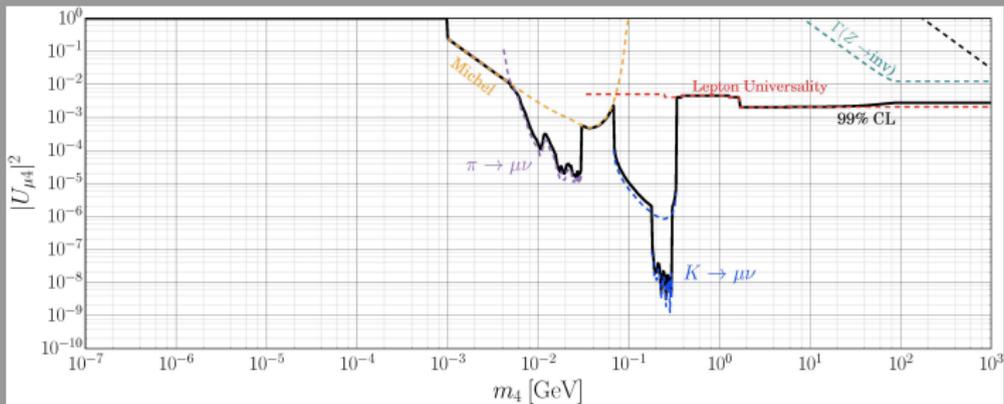
$$\nu_{\alpha(L,R)} = \sum_{i=1}^4 U_{\alpha i}^{(L,R)} \nu_{i(L,R)}, \quad (\alpha = e, \mu, \tau, D), \quad (2)$$

( $U^L = U^R \equiv U$ ). Here, we assume  $U_{e4} \approx U_{\tau 4} \approx 0$

- Several experiments including PS191, NuTeV, BEBC, FMMF, CHARM II, NA62, T2K and MicroBooNE have placed limits on  $U$  for long lived HNL.
- We avoid these bounds because both  $S$  and  $\nu_D$  are short lived with lifetime less than 0.1 ps.

# Bounds on $U_{\mu 4}$

Decay independent bounds: arXiv:1511.00683



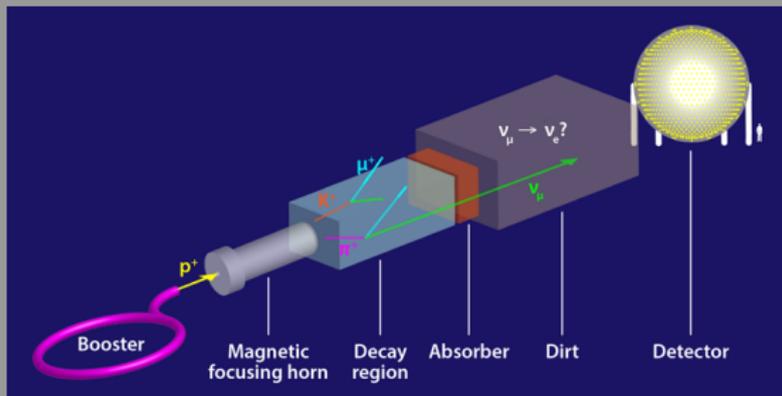
- arXiv:1802.02965(CMS) Upper limits at 95% limit for  $|U_{e4}|^2$  and  $|U_{\mu 4}|^2$  from  $W \rightarrow \ell \nu_4, \nu_4 \rightarrow \ell e^+ e^- \nu$  (100%) between  $1.2 \times 10^{-5} - 1.8$  for  $m_{\nu 4}$  between 1 GeV- 1.2 TeV.
- Require  $m_{\nu 4}$  around 400 – 500 MeV with  $U_{\mu 4} \sim 10^{-3}$  and so consistent with bounds.

# Constraints

Observable	SM expectation	Measurement or constraint
$\mathcal{B}(B^+ \rightarrow K^+ \nu \bar{\nu})$	$(5.58 \pm 0.38) \times 10^{-6}$	$(2.40 \pm 0.67) \times 10^{-5}$
$\mathcal{B}(B^0 \rightarrow K^{*0} \nu \bar{\nu})$	$(9.2 \pm 1.0) \times 10^{-6}$	$< 1.8 \times 10^{-5}$
$\mathcal{B}(B^+ \rightarrow K^{*+} \nu \bar{\nu})$	$\mathcal{B}(B^0 \rightarrow K^{*0} \nu \bar{\nu}) \frac{\tau_{B^+}}{\tau_{B^0}}$	$< 4 \times 10^{-5}$
$\mathcal{B}(B^0 \rightarrow K^{*0} e^+ e^-)_{0.03-1 \text{ GeV}}$	$(2.43^{+0.66}_{-0.47}) \times 10^{-7}$	$(3.1^{+0.9+0.2}_{-0.8-0.3} \pm 0.2) \times 10^{-7}$
$\mathcal{B}(B_s \rightarrow \gamma \gamma)$	$5 \times 10^{-7}$	$< 3.1 \times 10^{-6}$
$\mathcal{B}(B_s \rightarrow \mu^+ \mu^-)$	$(3.57 \pm 0.17) \times 10^{-9}$	$(3.52^{+0.32}_{-0.31}) \times 10^{-9}$
$\mathcal{B}(K_L \rightarrow \pi^0 \nu \bar{\nu})$	$(3.4 \pm 0.6) \times 10^{-11}$	$< 4.9 \times 10^{-9}$
$\mathcal{B}(K_L \rightarrow \pi^0 e^+ e^-)$	$(3.2^{+1.2}_{-0.8}) \times 10^{-11}$	$< 2.8 \times 10^{-10}$
$\mathcal{B}(K_L \rightarrow \pi^0 \gamma \gamma)$	-	$(1.273 \pm 0.033) \times 10^{-6}$
$\mathcal{B}(K_S \rightarrow \pi^0 \gamma \gamma)$	-	$(4.9 \pm 1.8) \times 10^{-8}$
$\mathcal{B}(K^+ \rightarrow \pi^+ \gamma \gamma)$	-	$(1.01 \pm 0.06) \times 10^{-6}$
$\mathcal{B}(K^\pm \rightarrow \mu^\pm \nu_\mu e^+ e^-)_{m_{e^+e^-} \geq 140 \text{ MeV}}$	-	$(7.81 \pm 0.23) \times 10^{-8}$
$\Delta M_{B_s}$	$(18.4^{+0.7}_{-1.2}) \text{ ps}^{-1}$	$(17.765 \pm 0.006) \text{ ps}^{-1}$
$\Delta M_K$	$(47 \pm 18) \times 10^8 \text{ s}^{-1}$	$(52.93 \pm 0.09) \times 10^8 \text{ s}^{-1}$
$a_\mu$	$116591810(43) \times 10^{-11}$	$116592059(22) \times 10^{-11}$

# Implication for the MiniBooNE Anomaly

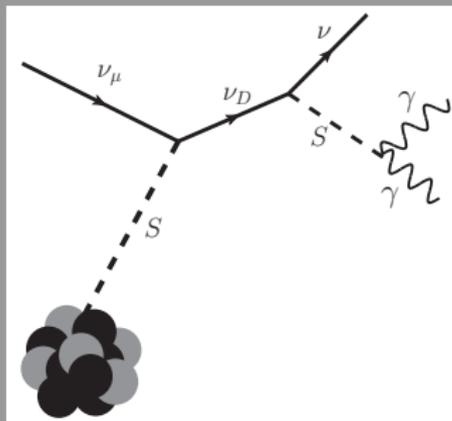
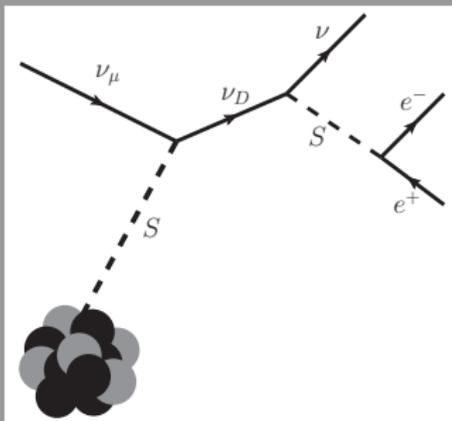
- Model predicts new effect in neutrino scattering  $\nu_\mu + Z \rightarrow \nu_4 + Z$  and  $\nu_4$  decay,  $\nu_4 \rightarrow \nu_\mu S \rightarrow \nu_\mu + (e^+, e^-, \gamma\gamma, \bar{\nu}_\mu \nu_\mu)$ .
- Consider as explanation for the MiniBooNE Electron like events. arXiv: 2308.02543( for review).



# MiniBooNE Electron like events

- There is an apparent  $\nu_\mu \rightarrow \nu_e$  conversion of neutrinos and antineutrinos at short baselines in the MiniBooNE experiment and the Liquid Scintillator Neutrino Detector (LSND).
- The MiniBooNE, excess is characterized by electron-like events in the energy region between 200 MeV and 600 MeV and is coincident in time with the  $\langle E_\nu \rangle \sim 0.8$  GeV neutrino beam. Considered a  $4.8 \sigma$  significance.
- Many solutions, oscillatory( 3+1 oscillations) and non-oscillatory- like additional new physics sources of  $e^+e^-$  or  $\gamma\gamma$  pairs and we focus on the later
- MicroBooNE rules out some of the solutions but many solutions still unconstrained.

# MiniBooNE - $S$ model: arXiv: 2310:15136



$$\mathcal{L}_{SN} = C_N \bar{\psi}_N \psi_N S,$$

$$C_N = Z C_p + (A - Z) C_n.$$

The proton and neutron couplings are related to the quark-scalar couplings by

$$C_p = \frac{m_p}{v} \left( \eta_c f_c^p + \eta_t f_t^p + \sum_d \eta_d f_d^p \right), \quad C_n = \frac{m_n}{v} \left( \eta_c f_c^n + \eta_t f_t^n + \sum_d \eta_d f_d^n \right)$$

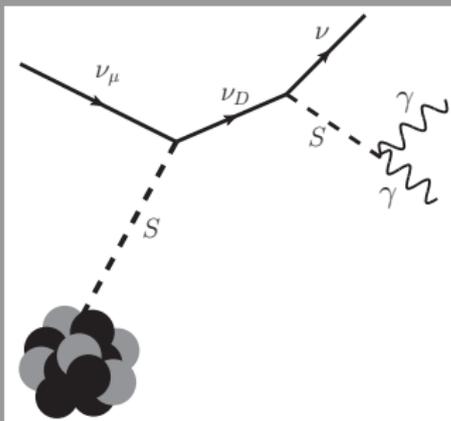
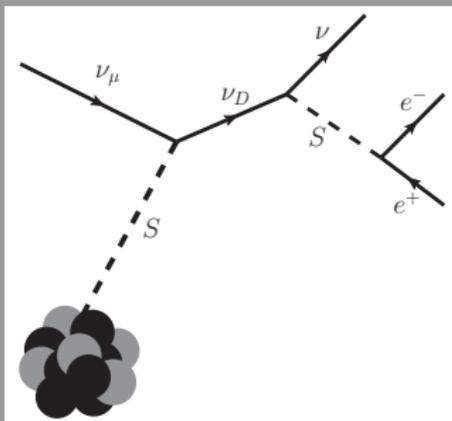
# MiniBooNE - $S$ model

The proton and neutron couplings are related to the quark-scalar couplings by

$$C_p = \frac{m_p}{v} \left( \eta_c f_c^p + \eta_t f_t^p + \sum_d \eta_d f_d^p \right), \quad C_n = \frac{m_n}{v} \left( \eta_c f_c^n + \eta_t f_t^n + \sum_d \eta_d f_d^n \right)$$

- $\eta_t$  and  $\eta_c$  constrained from  $B \rightarrow K + \text{inv}$  and  $K \rightarrow +\pi \text{inv}$  decays.
- $\eta_d$  determines coupling of  $S$  to electron pairs and so controls  $B \rightarrow Ke^+e^-$  and  $K \rightarrow \pi e^+e^-$ .
- So all terms in the coherent neutrino scattering are constrained from rare B and K decays.

# MiniBooNE - $S$ model Signal

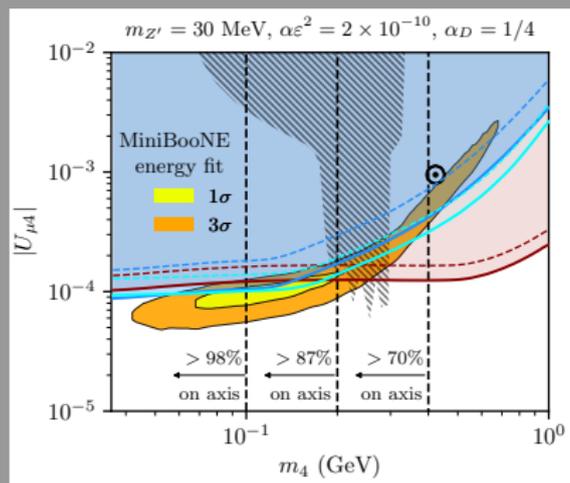


Signal with respect to the  $Z'$  model.

$$\mathcal{R} = \frac{\int \Phi \frac{d\sigma_S}{dT} dT dE_{\nu_\mu} \times (\mathcal{B}(S \rightarrow e^+e^-) + \mathcal{B}(S \rightarrow \gamma\gamma))}{\int \Phi \frac{d\sigma_{Z'}}{dT} dT dE_{\nu_\mu} \times \mathcal{B}(Z' \rightarrow e^+e^-)},$$

Denominator is evaluated at the benchmark point  $m_{Z'} = 30$  MeV,  $\alpha_{Z'} = 0.25$ ,  $\alpha\epsilon^2 = 2 \times 10^{-10}$  to explain the MiniBooNE anomaly. The  $\nu_\mu$  flux at the Booster Neutrino Beam in the neutrino run is denoted by  $\Phi$ .

# MiniBooNE - $S$ model Constraints



Can explain MiniBooNE and still be consistent with CHARM-II and MINER $\nu$ A constraints as

$$\frac{\sigma_S \times (\mathcal{B}(S \rightarrow e^+e^-) + \mathcal{B}(S \rightarrow \gamma\gamma))}{\sigma_{Z'} \times \mathcal{B}(Z' \rightarrow e^+e^-)} < 1$$

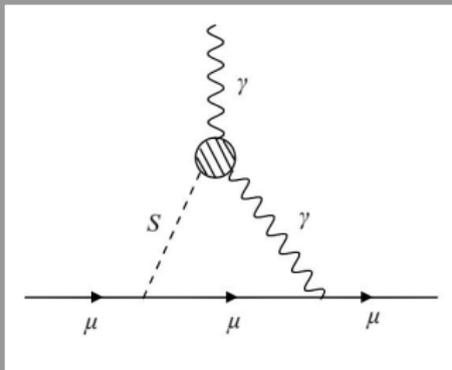
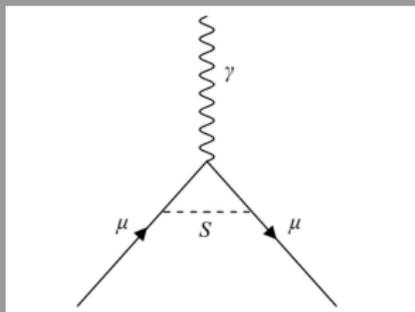
for  $E_{\nu\mu} = 20 \text{ GeV}$  where the denominator is evaluated for the parameter values with  $|U_{\mu 4}| = 10^{-4}$ .

# Predictions - $S$ model

BP	$\mathcal{B}(S \rightarrow \gamma\gamma)$	$\mathcal{B}(S \rightarrow \nu\bar{\nu})$	$\mathcal{B}(S \rightarrow e^+e^-)$	$\mathcal{B}(K_L \rightarrow \pi^0\nu\bar{\nu})$	$\mathcal{B}(B_s \rightarrow \nu\bar{\nu})$	$\mathcal{B}(B \rightarrow K^{(*)}\gamma\gamma)$
1	0.093	0.907	$4.26 \times 10^{-5}$	$1.71 \times 10^{-9}$	$5.13 \times 10^{-7}$	$1.3 \times 10^{-6}$
2	0.717	0.282	$7.06 \times 10^{-4}$	$3.61 \times 10^{-11}$	$3.54 \times 10^{-7}$	$3.7 \times 10^{-5}$
3	0.496	0.504	$5.93 \times 10^{-5}$	$9.02 \times 10^{-10}$	$4.14 \times 10^{-7}$	$1.7 \times 10^{-5}$
4	0.165	0.835	$1.10 \times 10^{-4}$	$1.73 \times 10^{-9}$	$1.43 \times 10^{-6}$	$2.65 \times 10^{-6}$
5	0.829	0.170	$9.72 \times 10^{-4}$	$2.04 \times 10^{-10}$	$1.72 \times 10^{-7}$	$6.8 \times 10^{-5}$
6	$4.58 \times 10^{-6}$	0.999	$7.10 \times 10^{-4}$	$1.89 \times 10^{-9}$	$1.01 \times 10^{-6}$	$6.5 \times 10^{-11}$
7	$3.95 \times 10^{-4}$	0.997	$2.14 \times 10^{-3}$	$2.84 \times 10^{-9}$	$4.86 \times 10^{-7}$	$7.6 \times 10^{-9}$

- $K_L \rightarrow \pi^0 + \text{inv}$  can be close to the KOTO bound.
- Resonance in  $B \rightarrow K^{(*)}\gamma\gamma$  is the main prediction.
- The branching ratio of  $S$  to electron-positron pair is tiny and so  $b \rightarrow sl^+l^-$  ( $B \rightarrow K^{(*)}l^+l^-$ ) decays mostly SM.

# $a_\mu, a_e$ constraints/predictions



Because of small  $S$  coupling to leptons the Barr-Zee diagram dominates .

$$\delta(g - 2)_{\ell}^{S\gamma\gamma} \approx \frac{\eta_d}{4\pi^2} \frac{\kappa m_\ell^2}{v} \ln \frac{\Lambda}{m_S}, \quad (3)$$

$\eta_d$  and  $\kappa$  control the  $S \rightarrow e^+e^-$  and  $S \rightarrow \gamma\gamma$  rates.

# $\nu_4$ in Effective Theories- Heavy new physics

$\nu_4$  neutrinos can be produced and decay through operators after integrating heavy fields like leptoquarks, new gauge bosons etc.

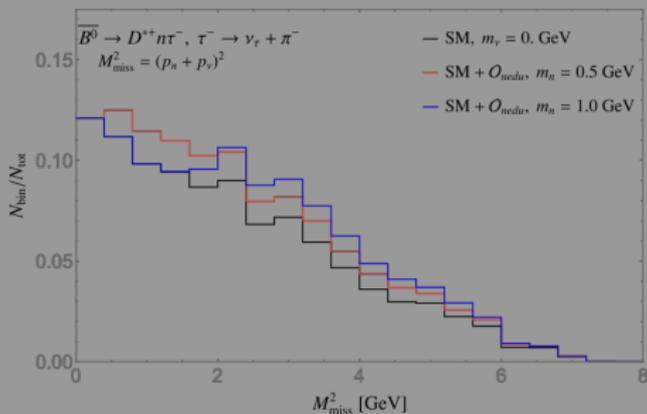
- For  $d_i \rightarrow d_j + \text{inv} \rightarrow d_j \bar{n} n$  - one can study in an effective field theory-  $\nu$  SMEFT or SMNEFT:

$$(\bar{n}_p \gamma_\mu n_r)(\bar{d}_s \gamma^\mu d_t), (\bar{q}_p \gamma_\mu q_r)(\bar{n}_s \gamma^\mu n_t), (\bar{\ell}_p^j \sigma_{\mu\nu} n_r) \epsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} d_t) \\ (\bar{\ell}_p^j n_r) \epsilon_{jk} (\bar{q}_s^k d_t)$$

- With the  $B^+ \rightarrow K^+ + \text{inv}$  measurement and other  $B \rightarrow K^* + \text{inv}$  bounds scalar operators are preferred (arXiv: 2309.02940).
- But no clear connection to other sectors but RGE effects can generate operators like  $\bar{\nu} \sigma_{\mu\nu} n F^{\mu\nu}$  which can contribute to neutrino scattering.

## $\nu_4$ in Effective Theories.

- $\nu_4$  do not have to be produced or decay through mixing. New sources of production and decay in Effective Theories. For example  $\bar{n}n$  production from  $B, D$  decays.
- Allows one to explore  $\nu_4$  in Meson decays at facilities like Belle II, FASER, DUNE near detector etc.



$B \rightarrow D^* \tau X$  where  $X = \nu, n$  with  $\tau \rightarrow \pi \nu_\tau$ .

Note presence of  $n$  might explain the  $R_{D^{(*)}}^{\tau/\ell} \equiv \frac{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \ell^- \bar{\nu}_\ell)}$  ( $\ell = e, \mu$ )

# Summary

- Several puzzles in  $\bar{b} \rightarrow \bar{s}$  transitions in semileptonic and nonleptonic  $B$  decays.
- Unified description may be possible in effective theory through RGE effects (SMEFT)
- New evidence for  $B^+ \rightarrow K^+ + \text{inv.}$  Interpreted as  $B^+ \rightarrow K^+ + S$ , where  $S$  is a short lived scalar that decays to neutrinos by coupling to a sterile neutrino  $\nu_4$ , which mixes with the light neutrino.
- These effects may be the source of the MiniBooNE LEE events.
- $b \rightarrow s\nu\bar{\nu}$  may indicate heavy new physics with a sterile neutrino-*SMENFT*. This has interesting signatures in  $B$  decays.